

## REQUEST FOR QUOTATION (RFQ)

DATE: 31/08/2021

SUBJECT: RFQ 21- 200 CONSULTANCY TO PREPARE A PROJECT CONCEPT NOTE AND PROJECT

### PREPARATION FACILITY (PPF) FOR FUNDING CONSIDERATION OF THE GREEN CLIMATE

#### FUND (GCF)

The Pacific Community (SPC) would like to invite interested qualified bidders to submit quotations to lead in the preparation of a project proposal for funding consideration of the Green Climate fund (GCF). The consultancy will build on the lessons learned drawn from experience in the implementation of the GEF Pacific Ridge to Reef Program and the Regional International Waters Ridge to Reef Project. The lessons learned documents (which will be made available to the chosen consultant/s) shall serve as building blocks or entry points, and basis in formulating the project proposal for submission to the GCF.

The consultancy will be based on the following:

1. All interested individuals are to submit quotation providing a Technical Proposal (including a resume, a table reporting against qualifying requirements, and a short proposal on how the consultant plans to conduct the different activities with a suggested timeline) and a Financial Proposal.
2. Queries or questions may be emailed to [samasonis@spc.int](mailto:samasonis@spc.int).
3. SPC reserves the right at the time of award of contract to vary the quantity of services and goods specified in the RFQ.
4. SPC reserves the right to accept or reject any Proposal and to annul the solicitation process and reject all Proposals at any time prior to award of contract, without thereby incurring any liability to the affected Bidder(s) or any obligation to inform the affected bidder(s) of the grounds for the Purchaser's action.
5. This RFQ has been requested from at least 3 service providers and quotations will be assessed based on both their assessed abilities to achieve the outcomes and cost.
6. Please email your quotation to [samasonis@spc.int](mailto:samasonis@spc.int) by **4.00pm Fiji time on Tuesday 21<sup>st</sup> September 2021.**
7. This letter is not to be construed in any way as an offer to contract with you or your organisation.

## ANNEX I

### TERMS OF REFERENCE

#### A. Project Title and Background:

##### **CONSULTANCY TO PREPARE A PROJECT CONCEPT NOTE AND PROJECT PREPARATION FACILITY (PPF) FOR FUNDING CONSIDERATIONS OF THE GREEN CLIMATE FUND (GCF)**

###### [The Pacific Community](#)

Pursuant to its mandate, SPC and United Nations Development Programme (UNDP) signed a Project Cooperation Agreement providing the legal basis for the implementation of a regional project that would support 14 Pacific Islands Countries (PICs) in maintaining and enhancing ecosystems goods and services of natural resources.

###### [The Regional International Waters Ridge to Reef Project](#)

The “Ridge to Reef – Testing the Integration of Water, Land, Forest, and Coastal management to preserve ecosystem services, store carbon, improve climate resilience and sustain livelihoods in Pacific island countries” briefly known as Regional International Waters Ridge to Reef Project or Regional IW R2R project, is a five year project funded by the Global Environment Facility (GEF) which aims to test the mainstreaming of ridge to reef (R2R), climate resilient approaches to integrated land, water, forest and coastal management in the PICs through strategic planning, capacity building and piloted local actions to sustain livelihoods and preserve ecosystem services. An amount of USD10.3 million was made available by GEF to finance the project covering 14 Pacific Island Countries.

###### [The GEF Pacific Ridge to Reef Program](#)

Against the backdrop of this Regional IW R2R project is the GEF Pacific Ridge to Reef (R2R) Program or “Pacific Islands Ridge to Reef National Priorities – Integrated Water, Land, Forest and Coastal Management to Preserve Biodiversity, Ecosystem Services, Store Carbon, Improve Climate Resilience and Sustain Livelihoods”. The GEF Pacific Ridge to Reef Program is a program comprising of 15 child projects (14 STAR and one Regional IW) implemented across the 14 Pacific Island Countries. It is guided by the Regional Steering Committee (RSC) and the Regional Scientific and Technical Committee (RSTC). RSC serves as the governance platform while RSTC ensures that scientific and technical aspects of the Program meet international standards. On top of this, a Regional Program Coordination Group (RPCG) comprising of the FAO, UNDP, and UN Environment, which serves as the coordination platform among the GEF implementing agencies for the GEF Pacific R2R Program (referred herein as the Program).

Providing the secretariat and coordination role of the Program is the Regional Program Coordination Unit (RPCU) of the Regional IW R2R project. RPCU consolidates the information about the program results and lessons learned from implementing various interventions and testing innovative approaches geared towards effective governance of natural resources.

The Program aims to maintain and enhance PICs ecosystem goods and services (provisioning, regulating, supporting and cultural) through integrated approaches to land, water, forest, biodiversity, and coastal resource management that contribute to poverty reduction, sustainable livelihoods, and climate resilience. Guided by the Programme Framework Document (PFD), GEF

investment amounts to USD 90.4 million with a co-financing of about USD 333 million from the various stakeholders of the 14 Pacific Island Countries. The GEF investment is earmarked to finance measures that contributes to the six focal areas of GEF namely: (1) biodiversity; (2) climate change adaptation; (3) climate change mitigation; (4) international waters; (5) land degradation; and (6) sustainable forest management.

#### B. Scope of Work

In 2020-2021, RPCU commissioned two consultancies tasked to document and draw lessons from the implementation experience of the Program and the Regional IW R2R Project. These consultancies produced two major outputs namely: (1) A [technical report](#) entitled: A Framework for mainstreaming Ridge to Reef approach in the Pacific Region, and (2) a generic concept proposal (this will be made available during inception phase of this consultancy).

Building on these two major reference documents, the consultancy aims to formulate a project concept note and PPF for funding considerations of the GCF in 2022.

#### C. Outputs

At the end of this consultancy, the consultant shall submit a consultancy report following the [template](#) provided by RPCU. The concept note and PPF will be comprised as important annex to this consultancy report.

#### D. Institutional Arrangements

The consultant will be directly responsible to, reporting to, seeking approval/ acceptance of output from Mr. Samasoni Sauni, Interim Regional Program Coordinator of the Regional IW R2R project. The Climate Finance Unit, as the SPC GCF focal point, will also provide technical feedback and advice.

Operationally, the consultant will collaborate with Mr. Shaleh Antonio, Country Coordination, Monitoring and Evaluation Adviser (CCMEA).

#### E. Duration of the Work

The work is expected to commence by 27 September 2021 or as soon as the consultancy contract is signed by both parties and will conclude not later than 27<sup>th</sup> February 2022.

- This consultancy shall be carried out for **a maximum of 60-days** (and not to exceed total budget of **USD20,000**) **within the period September 2021 to February 2022**.
- Below is the indicative time frame of this consultancy. This suggested timeline will be enhanced and finalized during the inception phase.

Indicative schedule	Suggested activity
Sep. 27 to 6 <sup>th</sup> Nov	<b>Inception phase (20 % of Total Contract Price)</b> ○ Briefing meetings and discussions with <a href="#">RPCU</a> and the members of the RSTC/WG

- o Meeting with the [GCF-Climate Finance Unit](#) of the Pacific Community (SPC) to obtain guidance that will ensure contributions to SPC strategic objectives, and alignment to GCF financing priorities for 2021, processes including timing and deadlines for concept note and PPF submission, etc.
- o Gathering and review of secondary information and conduct virtual and onsite consultations (as deemed appropriate and where possible under this Covid pandemic) with relevant stakeholders in the Pacific Region such as but not limited to CROP agencies, academe, relevant development partners and potential financing agencies), and the focal points (project coordinators and managers) of the 14 participating countries of the GEF Pacific R2R program and Regional IW R2R Project. Ideally and as minimum requirement for this consultancy, there will be two tier virtual consultations: (i) technical persons and designated officials of the participating countries, and (ii) high-level (Permanent Secretary or CEO) especially for endorsement and securing commitments, on identified project conditions. The first tier will be conducted during inception phase. The second tier will be carried out once the draft project concept note is available. These consultations shall be recorded in zoom meetings which then will be available as reference recording by RPCU.
- o As an output, an inception report ([using the RPCU template](#)) shall be submitted not later than **November 6, 2021**. The inception report shall outline and elaborate the process for formulation of the project concept note including changes in the activities indicated in the ToR and an updated schedule of activities of the consultancy. It shall have as annex a Results Framework indicating a project title, and clearly outlines the Overall Objective, Major Outputs, and corresponding indicators. The Results Framework shall serve as basis for elaborating the project concept and for crafting the Project Logical Framework or Logframe and Theory of Change in accordance with the GCF project proposal format and formulation guidelines. Most importantly, the inception report shall attach as annex the recordings of Tier 1 zoom meetings indicating positive support by the 'would-be' participating Pacific Island Countries.

Oct 7 <sup>th</sup> - 14 <sup>th</sup>	Review and endorsement of the inception report by the RSTC-WG
Oct 14 <sup>th</sup> 30 <sup>th</sup>	<b>Project concept note preparation (30% of contract price)</b> <ul style="list-style-type: none"> <li>○ Project concept note preparation</li> <li>○ Conduct of virtual or onsite meetings with relevant PICs and stakeholders especially those that have positively indicated interests in participating in the follow-up project.</li> <li>○ Tier two consultation meetings</li> <li>○ Submission of <b>draft concept note</b> and corresponding emails or Letter of Support from NDAs to RPCU not later than <b>30<sup>th</sup> of October 2021</b></li> </ul>
Nov 1 <sup>st</sup> to 20 <sup>th</sup>	Review of concept note by RSTC-WG and SPC-Climate Finance Unit and follow up changes by the Consultant.
Nov. 21 <sup>st</sup> to Dec. 14	Submission to NDAs for review and confirmation of support. This process can take about 3-weeks.
Jan. 1-20, 2022	AE submission of Concept note to GCF. Response to review comments from the consultant up to 2 rounds of comments.
Jan. 20-30, 2022	Formulation of Project Preparatory Facility (PPF)
Feb. 1-5	Review of Project Preparation Facility by RSTC-WG and SPC-Climate Finance Unit
Feb. 15, 2022	Submission of the <a href="#">consultancy report</a> with the required attachments such as: Final Concept note and PPF and zoom recording of all consultation meetings carried out. <ul style="list-style-type: none"> <li>○ Payment upon satisfactory submission (<b>not later than 15<sup>th</sup> of Feb.</b>) of final deliverable and final invoice</li> </ul>
Feb. 16-28, 2022	Processing of final payment of the consultant ( <b>50% of contract price</b> )
Feb. 16, 2022, and onwards	SPC takes on the remaining GCF PPF approval process.  GCF will review the PPF as submitted by SPC. In the event there are questions requiring strategic and technical responses, the Consultant may assist SPC prepare responses to the GCF Secretariat, as part of this final milestone output delivery.

#### F. **Qualifications, Skills and Experience:**

The consultant should not only have the following expertise but also have substantial operational experience and proven track record (can provide at least 3 concept proposal and/or project proposal that have been implemented or currently ongoing) in formulating sound GCF concept proposals and project document. Specifically, the consultant or team of consultants must:

- Hold a Master degree in any of the following disciplines
  - environmental science
  - environmental planner
  - natural resource management or natural resource governance
  - or development management
- have at least 10 years of track-record and experience in formulating development projects particularly GCF that aims to manage natural resources effectively and sustainably in Asia-Pacific region and/or in other regions;
- show evidence by providing at least 2 samples of its work (either primary author or co-author) or GCF concept notes and funding proposals that have been implemented in any of the Pacific Island Country/ies or in the Asia-Pacific region or elsewhere;

- have more than 10 years of experience in employing various stakeholder engagement processes, participatory techniques and tools in moderating multi-stakeholder planning, consultation meetings, assessment, workshops, seminars and conferences under the environment and natural resources sector.
- have prior experience working on the Ridge to Reef or environmental governance projects is preferred but not required.

G. **Proposal Evaluation Matrix** *(Optional)*

<b>Competency Requirements</b> (see qualification section)	<b>Score Weight (%)</b>
Master's degree in the relevant disciplines	25
Have at least 10 years of track record and experience, and show evidence by providing at least 2 samples of its work	25
Have more than 10-years of experience in stakeholder engagement processes	20
Prior experience with GCF including formulation and design of project concepts, full proposals and managing GCF projects; and also, experience working on Ridge to Reef or environmental governance projects is preferred	30
<b>Total Score</b>	100%

**ANNEX II**  
**Technical and Financial Proposal Submission Form**  
**RFQ 21-200**

**PART A – Background**

	<b>RESPONSE BY BIDDER</b>
Name:	
Physical Address:	
Postal Address:	
Telephone Contact:	
Email:	
Two contacts of referees or references. Attach additional details considered as relevant	

**PART B – Evaluation Criteria**

<b>CRITERIA</b>	<b>RESPONSE BY BIDDER</b>

**ANNEX III**  
**Financial Proposal Submission Form**  
**RFQ 21-200**

**Part A: Declaration**

**Part A: Undertaking**

1. I agree that if this proposal is accepted, to enter into an agreement with the Owner, to commence and to complete all the work specified or indicated in the contract documents.
2. In submitting this proposal, I confirm that I have examined all the RFQ documents to provide technical services to support...[title of project].
3. I agree to complete the services for the price stated in the remuneration.

Contractors agree to complete the services for the following price (VIP):

<b>Particulars</b>	<b>Amount (Currency)</b>
Professional Fees	
Management and operating Costs	
Total Financial offer (inclusive of all taxes)	



**ANNEX IV**  
**Proposal Submission Form**  
**RFQ 21-200**

**Part A: Undertaking**

1. I agree that if this proposal is accepted, to enter into an agreement with the Owner, to commence and to complete all the work specified or indicated in the contract documents.
2. In submitting this proposal, I confirm that I have examined all the RFQ documents to provide technical services to support.
3. I agree to complete the services for the price stated in the remuneration.

**Part B: Conflict of interest**

1. I confirm that I, my family members, and the organisation or company that I am involved with are independent from SPC. To the best of my knowledge, there are no facts or circumstances, past or present, or that could arise in the foreseeable future, which might call into question my independence.
2. If it becomes apparent during the procurement process that I may be perceived to have a conflict of interest, I will immediately declare that conflict and will cease to participate in the procurement process, unless or until it is determined that I may continue.

OR

I declare that there is a potential conflict of interest in the submission of my bid. Please provide an explanation with your bid.

**Part C: Privacy notice**

1. I understand that my bid and my personal information will be stored and used by SPC in accordance with SPC's *Privacy Policy* and *Guidelines for handling personal information of bidders and grant applicants*. Please inform SPC if you would like copies of the policy or guidelines.
2. If successful, I understand that SPC will disclose information such as my name and my company's name, and the amount of the award of SPC's website.

Date:

Name:

Signature:

Title:

**ANNEX V**  
**Due diligence questionnaire**  
**RFQ 21-200**

Please complete the following questionnaire and provide supporting documents where applicable.

**For individuals operating a business in their personal capacity**

1. Please provide any two of the following documents to verify identity and proof of address:
  - a. Passport
  - b. Driver's license
  - c. Voter card or other government-issued identity card
  - d. Bank statement with the individual's name displayed

2. Have you been convicted for criminal offences relating to anti-money laundering or terrorism financing? Yes No

If you answered 'yes', please provide further details.

3. Have you ever been the subject of any investigation, indictment, conviction or civil enforcement action related to financing terrorists? Yes No

If you answered 'yes', please provide further details.

**For companies and other legal entities**

1. Please provide the following documents to verify identity and proof of address:
  - a. Evidence of Power of Attorney/Board Resolution granted to the officers to transact business on its behalf; and
  - b. Any of the following documents:
    - Certificate of Incorporation
    - Memorandum and Articles of Association
    - Telephone bill in the name of the company
    - Bank statement with the entity's name displayed

2. Does your entity have foreign branches and/or subsidiaries? Yes No

3. If you answered 'yes' to the previous question, please confirm the areas of your entity covered by responses to this questionnaire

Head Office & domestic branches Yes No N/A

Domestic subsidiaries Yes No N/A

Overseas branches Yes No N/A

Overseas subsidiaries Yes No N/A

4. Is your entity regulated by a national authority? Yes No

If you answered 'yes' please specify the name: .....

5. Does your entity have a written policy, controls and procedures reasonably designed to prevent and detect money laundering or terrorist financing activities? Yes No  
If you answered 'yes', please send SPC your policy in English

6. Does your entity have an officer responsible for an anti-money laundering and counter-terrorism financing policy? Yes No

If yes, please state that officer's  
contact details:.....

7. Does your entity provide financial services to customers determined to be high risk including but not limited to:

- Foreign Financial Institutions Yes No
- Casinos Yes No
- Cash Intensive Businesses Yes No
- Foreign Government Entities Yes No
- Non-Resident Individuals Yes No
- Money Service Businesses Yes No

8. If you answered 'yes' to any of the boxes in question 7, does your entity's policies and procedures specifically outline how to mitigate the potential risks associated with these higher risk customer types? If yes, how?

9. Has your entity ever been the subject of any investigations or had any regulatory or criminal enforcement actions resulting from violations of laws and regulations relating to either money laundering or terrorism financing? Yes No

If you answered 'yes' please provide details

10. Has the director or CEO of your entity ever been the subject of any investigations or had any regulatory or criminal enforcement actions resulting from violations of laws and regulations

relating to either money laundering or terrorism financing? Yes No

If you answered 'yes' please provide details

I declare that none of the funds received or to be received by my organisation will be used to finance terrorism or involve money laundering.

I declare that the particulars given herein above are true, correct and complete to the best of my knowledge, and the documents submitted in support of this form are genuine and obtained legally from the respective issuing authority.

Date:

Name:

Signature:

Title:

**ANNEX VI**  
**SPC GENERAL CONDITIONS OF CONTRACT**  
**RFQ 21-183**

**1. LEGAL STATUS**

The Contractor has the legal status of an independent Contractor. The Contractor's personnel and sub-contractors are not to be considered in any respect employees or agents of SPC.

**2. SOURCE OF INSTRUCTIONS**

The Contractor will only accept instructions from SPC in the performance of this contract. The Contractor will refrain from any action that may adversely affect SPC and will fulfil its commitments with the fullest regard to the interests of SPC. Should any authority external to SPC seek to impose any instructions concerning or restrictions on the Contractor's performance under the contract, the Contractor shall promptly notify SPC and provide all reasonable assistance required by SPC.

**3. CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES**

3.1 The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this contract, reliable individuals who will perform effectively in the implementation of this contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

3.2 The Contractor shall not discriminate against any person because of race, gender, sexual orientation, impairment or disability, religious or political beliefs, age, marital or relationship status, pregnancy, breastfeeding or other family responsibilities.

**4. SPECIFIED PERSONNEL**

The Contractor must ensure that the services are performed in accordance with this contract. Where personnel have been specified, they must provide those services.

SPC may remove any personnel (including Specified Personnel) from work in respect of this Contract. If it does so, or if Specified Personnel are unable or unwilling to perform the contract, the Contractor will provide replacement personnel (acceptable to SPC) of suitable ability and qualifications at no additional cost and at the earliest opportunity.

**5. ASSIGNMENT**

The Contractor may not assign, transfer, pledge or make other disposition of this contract or any part thereof, or any of the Contractor's rights, claims or obligations under this contract except with the prior written consent of SPC.

**6. SUB-CONTRACTING**

6.1 Any intention to subcontract aspects of the contract must be specified in detail in the proposal submitted. Information concerning the subcontractor, including the qualifications of the staff proposed for use must be covered with same degree of thoroughness as for the prime Contractor. No subcontracting will be permitted under the contract unless it is proposed in the initial submission or is agreed to by SPC in writing. In any event, the total responsibility for the contract remains with the Contractor. The Contractor shall be responsible for ensuring that all subcontracts shall be fully consistent with the contract and shall not in any way prejudice the implementation of any of its provisions.

6.2 Prior to employing individuals or engaging subcontractors to perform services under this contract, the Contractor agrees, at its own expense, to perform due diligence necessary to ensure compliance with the terms of this contract.

## **7. OFFICIALS NOT TO BENEFIT**

The Contractor warrants that no official of SPC has received or will be offered by the Contractor any direct or indirect benefit arising from this contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this contract.

## **8. INDEMNIFICATION**

8.1 The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, SPC, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this contract. This obligation does not extend to actions and omissions of SPC.

8.2 This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors.

8.3 The obligations under this clause do not lapse upon termination of this contract.

## **9. FRAUD AND CORRUPTION**

9.1 The Contractor shall adhere to the highest standard of ethical conduct and not engage in corrupt, fraudulent, collusive, coercive or obstructive practices.

9.2 The Contractor agrees to bring allegations of corrupt, fraudulent, collusive, coercive or obstructive practices arising in relation to this contract, of which the Contractor has been informed or has otherwise become aware, promptly to the attention of SPC.

9.3 For purposes of this contract, the following definitions shall apply:

(i) "corruption" means the abuse of entrusted power for private gain. It may include improperly influencing the actions of another party or causing harm to another party. The gain or benefit may be for the person doing the act or for others.

(ii) "fraud" means any dishonest act or omission that causes loss or detriment to SPC or results in an unauthorised benefit or advantage to either the person(s) acting or omitting or to a third party. The act or omission can be either deliberate or reckless in relation to the harm caused or the benefit or advantage obtained.

9.4 Any breach of this representation and warranty shall entitle SPC to terminate this contract immediately upon notice to the Contractor, at no cost to SPC.

## **10. INSURANCE AND LIABILITIES TO THIRD PARTIES**

10.1 SPC shall have no responsibility for the purchase of any insurance which may be necessary in respect to any loss, injury, damage or illness occurring during the execution by the Contractor of the present contract.

10.2 The Contractor will hold insurance against all risks in respect of its employees, sub-contractors, property and equipment used for the execution of this contract, including appropriate worker's compensation for personal injury or death.

10.3 The Contractor will also hold liability insurance in an adequate amount to cover third party claims for any claims arising from or in connection with the provision of services under this contract.

10.4 The Contractor shall, upon request, provide SPC with satisfactory evidence of insurance cover as required under this clause.

## **11. ENCUMBRANCES/LIENS**

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file

in any public office or on file with SPC against any monies due or to become due for any work done or materials furnished under this contract, or by reason of any other claim or demand against the Contractor.

## **12. TITLE TO EQUIPMENT**

Title to any equipment and supplies that may be provided by SPC rests with SPC. Such equipment shall be returned to SPC at the conclusion of this contract or when no longer needed by the Contractor. On return, the equipment shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate SPC for equipment determined to be damaged or degraded beyond normal wear and tear.

## **13. INTELLECTUAL PROPERTY RIGHTS**

13.1 SPC is entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights, and trademarks, with regard to products, or documents and other materials which bear a direct relation to or are produced or prepared or collected in consequence of or in the course of the execution of this contract. This includes derivative works created as a result of products created pursuant to this contract.

13.2 At SPC's request, the Contractor shall take all necessary steps, execute all necessary documents, and generally assist in securing such proprietary rights and transferring them to SPC.

## **14. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF SPC**

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with SPC, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of SPC, or any abbreviation of the name of SPC in connection with its business or otherwise without SPC's prior written approval.

## **15. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION**

15.1 All documents and information relating to the contract as well as any other information of which the Contractor becomes aware in the course of performing the contract that is not in the public domain must be treated as confidential during and beyond the term of the contract. The Contractor shall not be permitted to make use of any such data and information for the contractor's own purposes.

15.2 The Contractor may not communicate at any time to any other person, Government or authority external to SPC, any information known to it by reason of its association with SPC which has not been made public except with the authorisation of SPC; nor shall the Contractor at any time use such information to private advantage. These obligations do not lapse upon termination of this Contract.

## **16. TAX EXEMPTION**

16.1 Under host country agreements and legislation of SPC members conferring privileges and immunities, as an intergovernmental organisation SPC is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognise SPC's exemption from such taxes, duties or charges, the Contractor shall immediately consult with SPC to determine a mutually acceptable procedure.

16.2 The Contractor authorises SPC to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with SPC before the payment thereof and SPC has, in each instance, specifically authorised the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide SPC with written evidence that payment of such taxes, duties or charges has been made and appropriately authorised.

16.3 The Contractor is responsible for payment of their own income taxes.

## **17. CONFLICT OF INTEREST**

17.1 The Contractor must take all the necessary measures to prevent any situation of conflict of interest or professional conflicting interest.

17.2 The Contractor must notify SPC in writing as soon as possible of any situation that could constitute a conflict of interest during the performance of the contract. The Contractor must immediately take action to rectify the situation. SPC may do any of the following:

1. verify that the Contractor's action is appropriate,
2. require the Contractor to take further action within a specified deadline.

## **18. SOCIAL AND ENVIRONMENTAL RESPONSIBILITY**

18.1 SPC has committed to ethically and sustainably managing social and environmental risks and impacts of its activities through its *Social and Environmental Responsibility Policy*.

18.2 Accordingly, SPC requires the Contractor to comply with the following obligations.

### *Child protection*

18.3 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child. This includes, among other things, Article 3 which requires the best interests of the child to be a primary consideration in all actions concerning children; Article 32 which protects children from economic exploitation and child labour; and Article 34 which protects children from sexual exploitation and abuse.

Where the Contractor is providing services directly related to or involving children, the Contractor will either have its own Child protection policy in place or use its best

endeavours to act in accordance with the principles of SPC's child protection policy.

The Contractor agrees to bring allegations of any abuse or exploitation of children arising in relation to this contract, of which the Contractor has been informed or has otherwise become aware, promptly to the attention of SPC.

18.4 Any breach of this representation and warranty shall entitle SPC to terminate this contract immediately upon notice to the Contractor, at no cost to SPC.

### *Human rights*

18.5 The Contractor is committed to respecting, and acting in a manner which avoids infringing on, human rights, and ensures that they are not complicit in human rights abuses committed by others.

18.6 Any breach of this representation and warranty shall entitle SPC to terminate this contract immediately upon notice to the Contractor, at no cost to SPC.

### *Gender equality and social inclusion*

18.7 SPC is committed to progress gender equality and social inclusion in all area of its work. The Contractor is expected to respect gender equality and diversity in the workplace.

18.8 The Contractor is expected to have measures in place to ensure equal pay for work of equal value, to prevent bullying and any forms discrimination; and to ensure a safe workplace environment for women and men of all diversities.

### *Sexual harassment, sexual abuse or sexual exploitation*

18.9 SPC will not tolerate any form of sexual harassment, abuse or exploitation. The Contractor shall refrain from and shall take all reasonable and appropriate measures to prohibit its employees or other persons engaged and controlled by it from engaging in

sexual harassment, sexual abuse and sexual exploitation.

18.10 The Contractor agrees to bring allegations of sexual harassment, sexual abuse or sexual exploitation arising in relation to this contract, of which the Contractor has been Informed or has otherwise become aware, promptly to the attention of SPC.

18.11 For purposes of this contract, the following definitions shall apply:

1. "sexual harassment" means behaviour that is unwelcome, unsolicited, unreciprocated of a sexual nature. It is behaviour that is likely to offend, humiliate or intimidate.
2. "sexual abuse" means actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
3. "sexual exploitation" means any actual or attempted abuse of a position of vulnerability, differential power, or trust for sexual purposes. It includes profiting monetarily, socially, or politically from sexual exploitation of another.

18.12 Any breach of this representation and warranty shall entitle SPC to terminate this contract immediately upon notice to the Contractor, at no cost to SPC.

#### *Environmental responsibility*

18.13 The Contractor must ensure a rational use and management of natural resources and ecosystems.

18.14 The Contractor shall use all efforts to prevent or, where not possible, to minimise the impact of their activities towards climate change and damage to the environment.

### **19. ANTI-MONEY LAUNDERING/COUNTER TERRORISM FINANCING**

19.1 The Contractor agrees to take all reasonable efforts to ensure that none of the funds received under this contract are used for money laundering or for terrorism financing.

19.2 The Contractor agrees that the recipients of any amounts provided by SPC hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via:

<https://scsanctions.un.org/fop/fop?xml=htdocs/resources/xml/en/consolidated.xml&xslt=htdocs/resources/xsl/en/consolidated.xsl>

19.3 For purposes of this contract, the following definitions shall apply:

1. "money laundering" means the conversion or transfer of property, knowing that such property is the proceeds of crime, for the purpose of concealing or disguising the illicit origin of the property or of helping any person who is involved in the commission of the predicate offence to evade the legal consequences of his or her actions, or the concealment or disguise of the true nature, source, location, disposition, movement or ownership of or rights with respect to property, knowing that such property is the proceeds of crime.
2. "terrorism financing" means directly or indirectly, unlawfully and wilfully, provides or collects funds with the intention that they should be used or in the knowledge that they are to be used, in full or in part, in order to carry out acts of terrorism.

19.4 Any breach of this representation and warranty shall entitle SPC to terminate this contract immediately upon notice to the Contractor, at no cost to SPC.

### **20. OBSERVANCE OF THE LAW**

The Contractor must comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this contract.

### **21. AUTHORITY TO MODIFY**

No modification or change, nor waiver of any of this contract's provisions will be valid and enforceable against SPC unless provided by an



amendment to this contract signed by the authorised official of SPC.

## **22. FORCE MAJEURE AND OTHER CHANGES IN CONDITIONS**

22.1 Force majeure for the purposes of this contract means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Contractor.

22.2 The Contractor should notify SPC within fifteen (15) days of the occurrence of the force majeure event. The Contractor shall also notify SPC of any other changes in conditions or the occurrence of any event which interferes or threatens to interfere with its performance of this contract.

22.3 The notice shall include steps proposed by the Contractor to be taken, including any reasonable alternative means for performance that is not prevented by force majeure. On receipt of the notice required under this clause, SPC shall take such action as, in its sole discretion, it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this contract.

22.4 If the Contractor is rendered permanently unable, wholly or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this contract, SPC shall have the right to suspend or terminate this contract on the same terms and conditions as are provided for in clause 23 "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

## **23. TERMINATION**

23.1 Either party may terminate this contract for cause, in whole or in part, with fifteen (15) days' written notice to the other party. The initiation of arbitral proceedings in accordance

with clause 24 "Settlement of Disputes" below shall not be deemed a termination of this contract.

23.2 SPC reserves the right to terminate without cause this contract, at any time with thirty (30) days written notice to the Contractor, in which case SPC shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

23.3 In the event of any termination by SPC under this clause, no payment shall be due from SPC to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this contract. The Contractor shall take immediate steps to terminate the work and services in a prompt and orderly manner and to minimise losses and further expenditure.

23.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a receiver be appointed on account of the insolvency of the Contractor, SPC may, without prejudice to any other right or remedy it may have, terminate this contract forthwith. The Contractor shall immediately inform SPC of the occurrence of any of the above events.

## **24. SETTLEMENT OF DISPUTES**

24.1 The Parties will use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this contract or the breach, termination or invalidity thereof.

24.2 If a dispute is not settled within sixty days of one Party notifying the other of a request for amicable settlement, the dispute can be referred by either Party to arbitration in accordance with the general principles of international law. The arbitration will be governed by the Arbitration Rules of the United Nations Commission on International Trade Law (UNCITRAL) as at present in force. The arbitral tribunal shall have no authority to

award punitive damages. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

**25. PRIVILEGES AND IMMUNITIES**

Nothing in or relating to this contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of SPC.

