



SPC/GEF-R2R/RSC.3/5

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3<sup>rd</sup> Regional Steering Committee Meeting for the GEF/SPC/UNDP Project Entitled: "Ridge to Reef – Testing the Integration of Water, Land, Forest & Coastal Management to Preserve Ecosystem Services, Store Carbon, Improve Climate Resilience and Sustain Livelihoods in Pacific Island Countries"

Townsville, Australia, 29<sup>th</sup> July 2018

**Status of GEF/UNDP/SPC Pacific Regional IW R2R Project Implementation**



# Status of GEF/UNDP/SPC Pacific Regional IW R2R Project Implementation

Third Regional Programme Steering Committee Meeting  
Mercure Hotel, Townsville, QL, Australia  
July 30 to August 3, 2018

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Acting Project Science Leader and OIC of the Pacific Ridge to Reef Program



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## Outline of the Presentation

### Background and Context

- Basic facts of the Pacific Ridge to Reef Programme Initiative
- Basic facts of the Regional IW R2R Project

### Project Implementation Status

- Progress of the Development Outcomes
- Financial Status

### Issues and concerns

- Regional IW R2R Project
- Pacific R2R Programme

### Summary and conclusion



# The Pacific Ridge to Reef Programme Initiative An Overview





## The Pacific Ridge to Reef (R2R) Programme Initiative

**Title:** “Pacific Islands Ridge to Reef National Priorities - Integrated Water, Land, Forest & Coastal Management to Preserve Ecosystem Services, Sequester Carbon, Improve Climate Resilience and Sustain Livelihoods”

- Is a Multi-focal Area Ridge to Reef Programme
- Financed by the Global Environmental Facility (GEF)
- with objective:

*“to maintain and enhance Pacific Island countries’ ecosystem goods and services (provisioning, regulating, supporting and cultural) through integrated approaches to land, water, forest, biodiversity and coastal resource management that contribute to poverty reduction, sustainable livelihoods and climate resilience”*



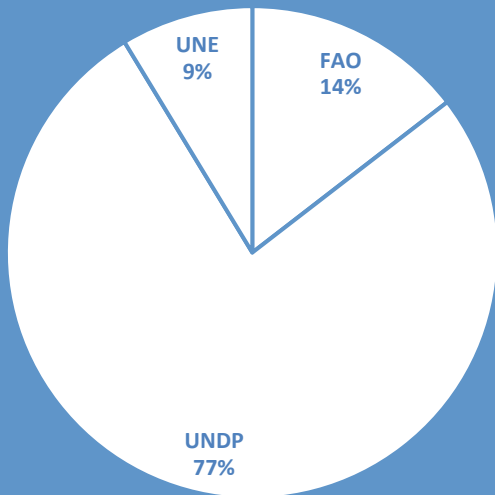
## Basic Facts: Pacific Ridge to Reef Programme Initiative

(Reference: Programme Framework Document for the Regional Ridge to Reef Initiative, Nov. 2013)

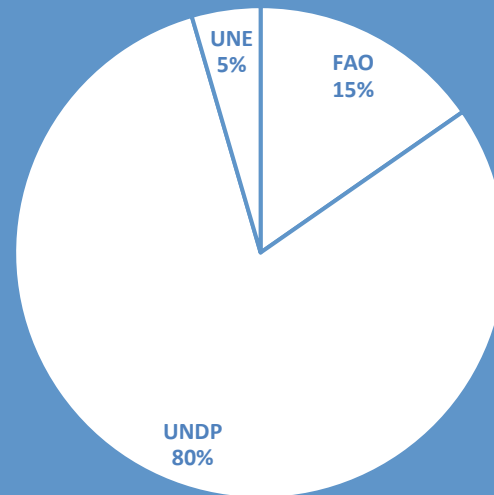
|                             |  |
|-----------------------------|--|
| Fund Source:                | <b>Global Environmental Facility</b>   |
| Lead GEF Agency:            | <b>United Nations Development Program (UNDP)</b>   |
| Other GEF Agencies:         | <ul style="list-style-type: none"> <li>• United Nations Environment</li> <li>• Food &amp; Agriculture Organization</li> </ul>                              |
| Other Executing Partners:   | <ul style="list-style-type: none"> <li>• Pacific Community (SPC)</li> <li>• National Government Agencies in the Pacific Island Countries (PICs)</li> </ul> |
| Total Amount of Investment: | USD 90,388,573   |
| Duration:                   | 60 Months  |

## Funds Share per GEF Implementing Agency

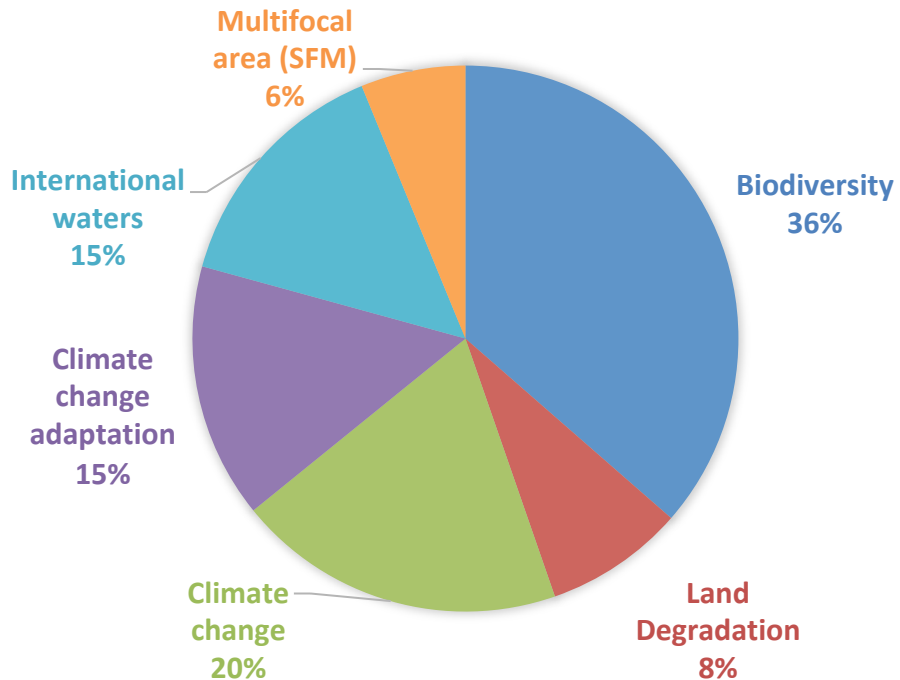
BASED ON PFD



BASED ON INDIVIDUAL PRODOC



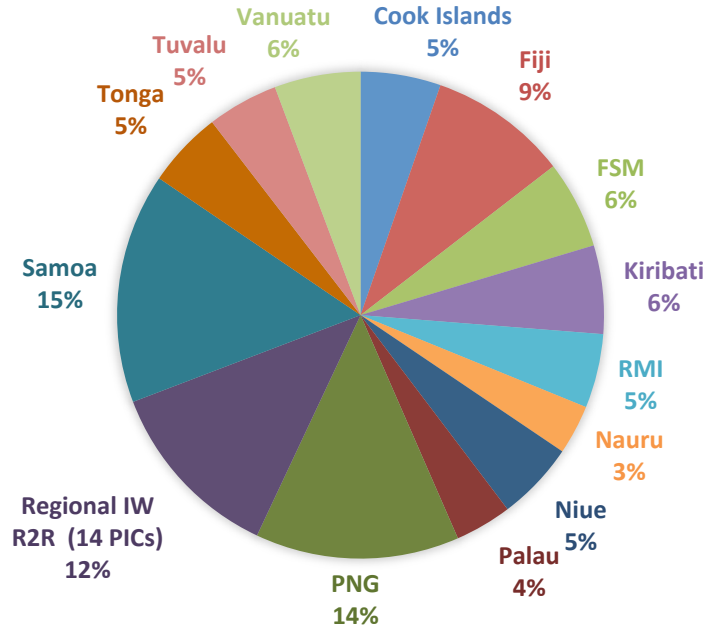
## Funds Share per GEF Focal Area (Total USD 90.4M)



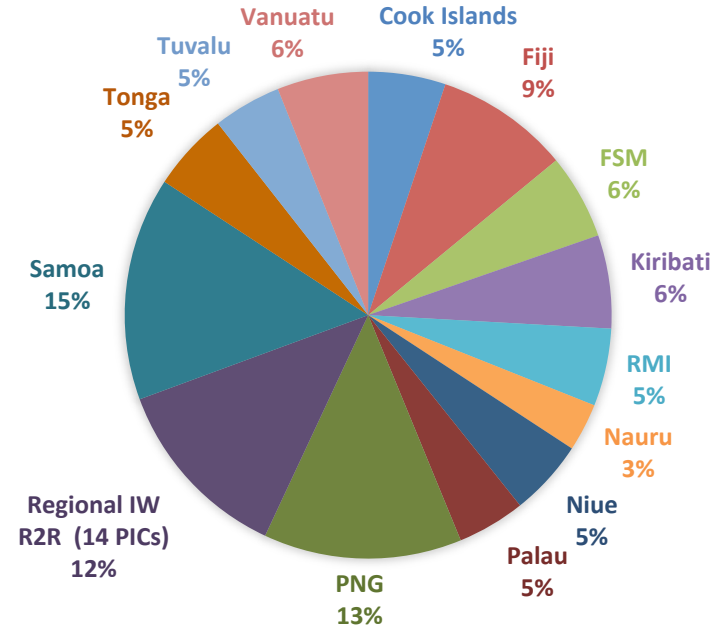


## Funds Share per Country

BASED ON PFD



BASED ON INDIVIDUAL PRODOC





## Pacific R2R Programme Components

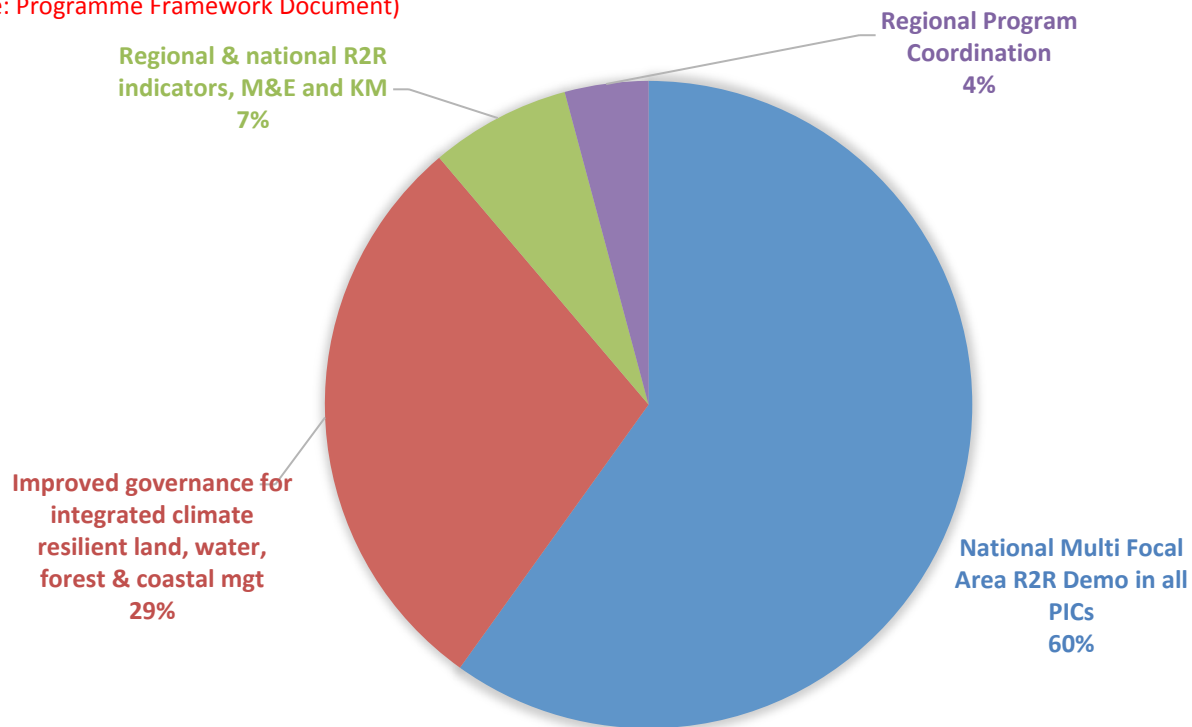
(Reference: Programme Framework Document for the Regional Ridge to Reef Initiative)

1. National GEF STAR funded Multi-focal Area Ridge-to-Reef Demonstrations in all Pacific Island Countries
2. Improved Governance for Integrated, Climate Resilient Land, Water, Forest and Coastal Management
3. Regional and National/Local Ridge-to-Reef Indicators, Monitoring and Evaluation and Knowledge Management
4. Regional Program Coordination

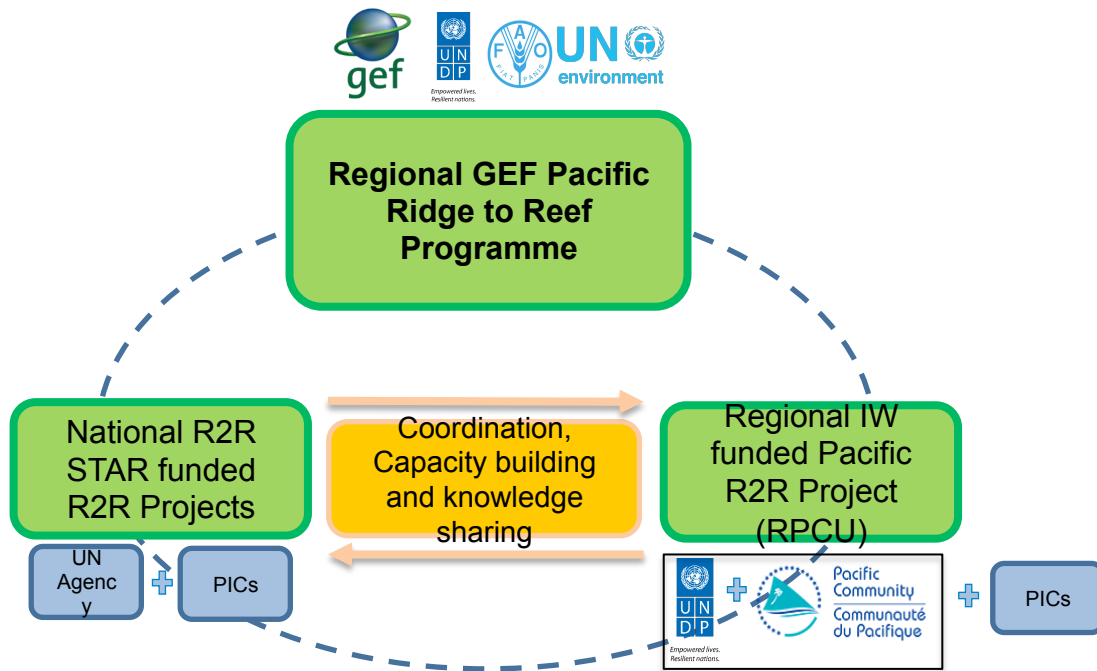


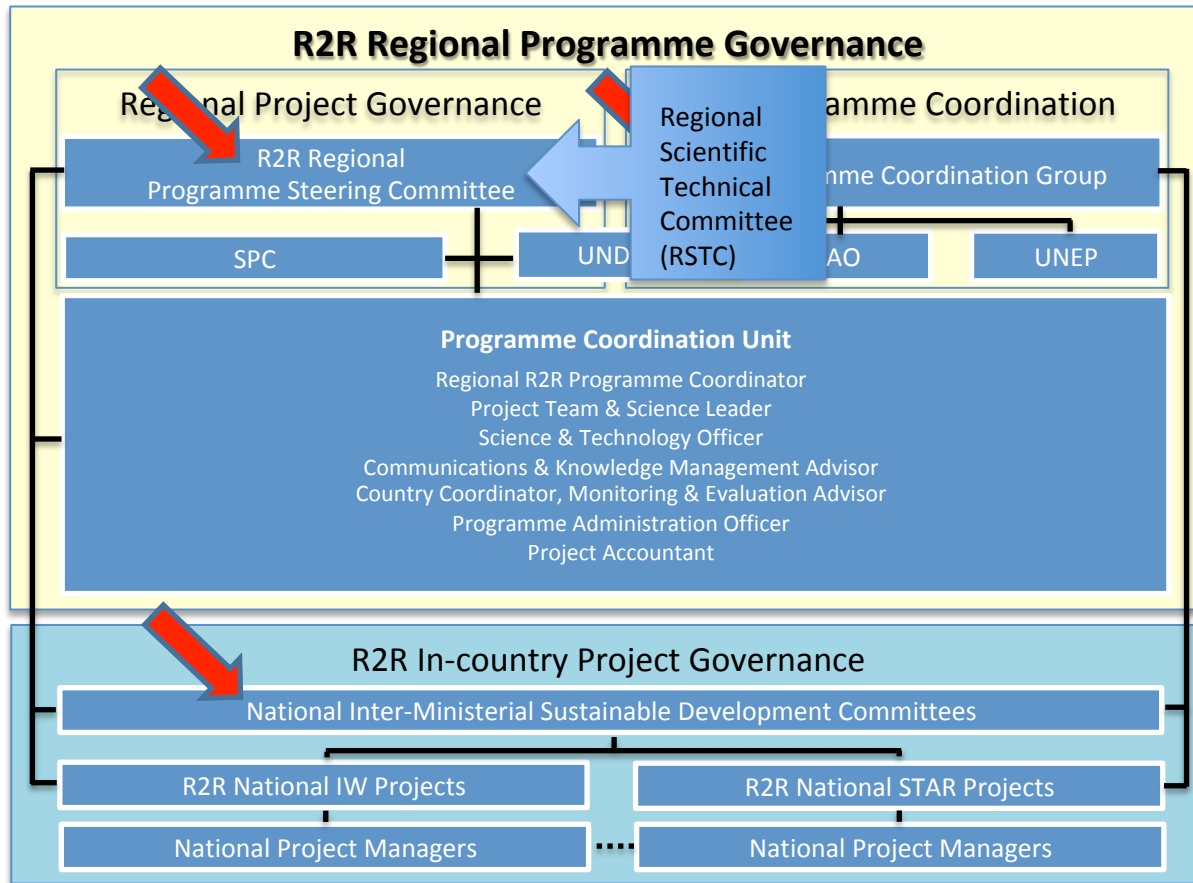
## Funds allocated per component (excluding PMC & Agency Fee: Total USD 77.2M)

(Source: Programme Framework Document)

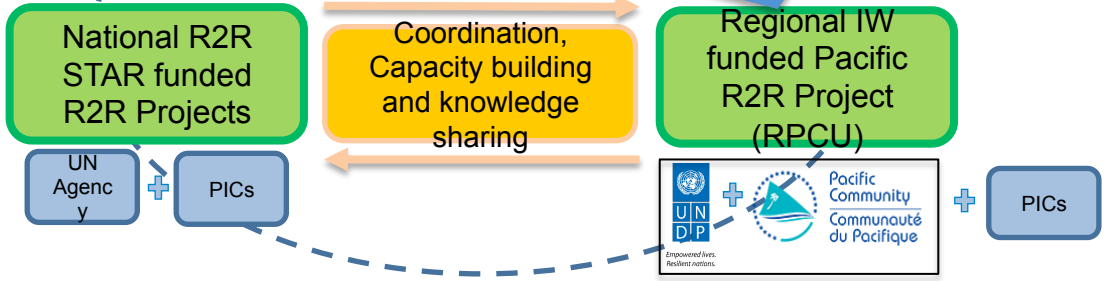


# The Programmatic Approach





## The Regional International Waters Ridge to Reef Project





# The Regional International Waters Ridge to Reef Project An Overview





## The GEF Pacific IW Ridge to Reef (R2R) Project

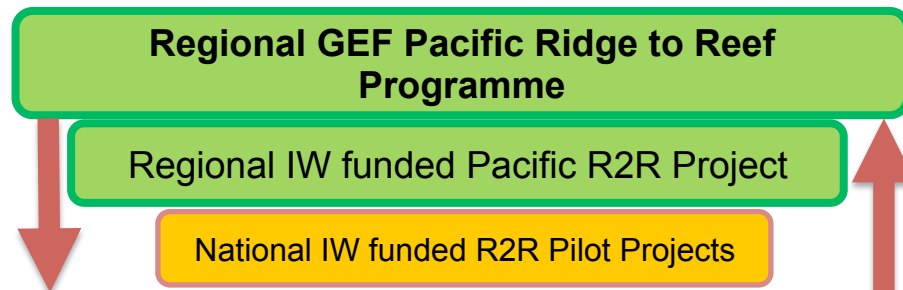
**Title:** “Testing: Pacific Islands Ridge to Reef National Priorities - Integrated Water, Land, Forest & Coastal Management to Preserve Ecosystem Services, Sequester Carbon, Improve Climate Resilience and Sustain Livelihoods”

Objective:

*“To test the mainstreaming of R2R, climate resilient approaches to integrated land, water, forest and coastal management in the PICs through strategic planning, capacity building and piloted local actions to sustain livelihoods and preserve ecosystem services”*







- Component One:**  
National Demo to Support R2R ICM/IWRM Approaches for Island Resilience & Sustainability
- Component Two:**  
Island-based investments in human capital and knowledge to strengthen national & local capacities for R2R ICM/IWRM approaches, incorporating climate change adaptation
- Component Three:**  
Mainstreaming of R2R ICM/IWRM approaches into national dev't. planning
- Component Four:**  
Regional and national R2R indicators for reporting, monitoring, adaptive management & knowledge management
- Component Five:**  
Ridge to Reef regional and national coordination

# Regional IW R2R Project Results Framework

## Project Objectives

To test the mainstreaming of R2R, climate resilient approaches to integrated land, water, forest and coastal management in the PICs through strategic planning, capacity building and piloted local actions to sustain livelihoods and preserve ecosystem services

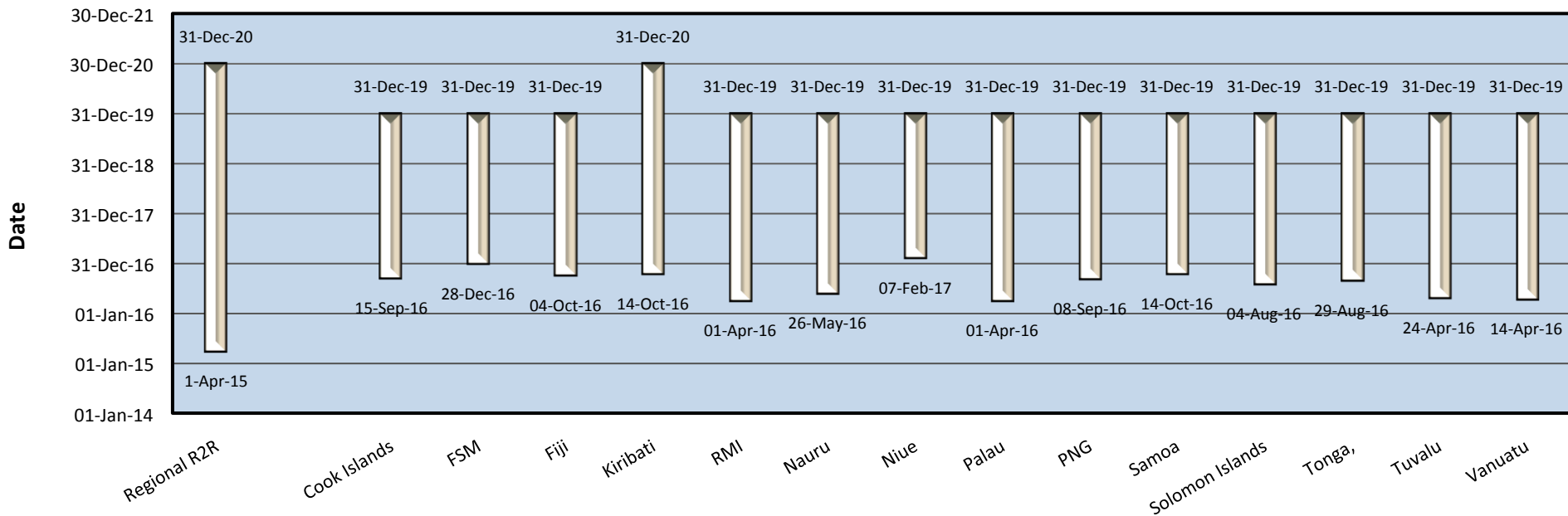
## Ten (10) Indicators

- C1.1 Successful pilot projs testing innovative solutions involving linking ICM, IWRM & CCA (linked to STAR via larger pacific R2R network)
- C1.2 National diagnostic analysis for ICM conducted for prioritizing and scaling-up key ICM/IWRM reforms and investments
- C1.3 Multi-stakeholder leader roundtable networks established for strengthened 'community to cabinet' ICM/IWRM
- C2.1 National & local capacity for ICM & IWRM implementation build to enable best practice in integ. LWF&C mgt & CCA
- C2.2 Incentive structures for retention of local R2R expertise and inter-gov'tal dialogue on HR needs for ICM/IWRM initiated
- C3.1 National and regional strategic action frameworks for ICM/IWRM endorsed nationally and regionally
- C3.2 Coordinated approaches for R2R integrated LWF&C management & CCA achieved in 14 PICS
- C4.1 Nat'l & regional formulation & adoption of integrated & simplified results frameworks for integrating multi-focal projs
- C4.2 Nat'l & regional platforms for managing info & sharing of best practices & lessons learned in R2R established
- C5.1 Effective program coordination of national & regional R2R projects

## Five (5) Components

- C1. National Demo to support R2R ICM/IWRM approaches for island resilience & sustainability
- C2. Island-based investments in human capital & knowledge to strengthen nat'l & local capacities for R2R ICM/IWRM approaches, incorporating CCA
- C3. Mainstreaming of R2R ICM/IWRM approaches into national development planning
- C4. Regional & national R2R indicators for reporting, monitoring & adaptive management & KM
- C5. Ridge to Reef regional & national coordination

# Regional IW R2R Project Timelines



## National Demonstration Projects Summary

| Country                          | Demonstration Site                 | Management Approach  |
|----------------------------------|------------------------------------|--|
| Cook Islands                     | Muri Lagoon                        | Waste management implementation and coastal health assessment                                |
| Federated States of Micronesia   | Kosrae                             | Integrated R2R catchment management assessment and planning                                  |
| Fiji                             | To be identified                   | Watershed assessment, mapping and planning   |
| Kiribati                         | South Tarawa                       | Capacity building for sustainable piggery waste management & improved information management |
| Nauru                            | Nauru                              | Waste management implementation and Integrated Coastal Management planning                   |
| Niue                             | Niue                               | Coastal and groundwater protection, and Integrated Coastal Management planning               |
| Palau                            | Ngerkil & Melekeok                 | Watershed management planning and implementation   |
| Papua New Guinea                 | Tuna Bay                           | R2R approach in the protection of biodiversity and ecosystem functions in Bootless bay area  |
| Republic of the Marshall Islands | Majuro/Laura                       | Waste management implementation and coastal health assessment                                |
| Samoa                            | Fangalii Watershed Area            | Watershed management planning and implementation   |
| Solomon Islands                  | Mataniko River Basin               | Coastal health assessment and watershed management planning                                  |
| Tonga                            | Haveluliku, Mangia, Haano, Ta'anga | Coastal zone management plans and replication of IWRM/ICM Management models                  |
| Tuvalu                           | Funafuti                           | Waste management implementation and coastal health assessments                               |
| Vanuatu                          | Tagabe River Catchment             | Watershed management planning and monitoring and assessment of management measures           |



# Regional IW R2R Status of Project Implementation



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## Development Progress



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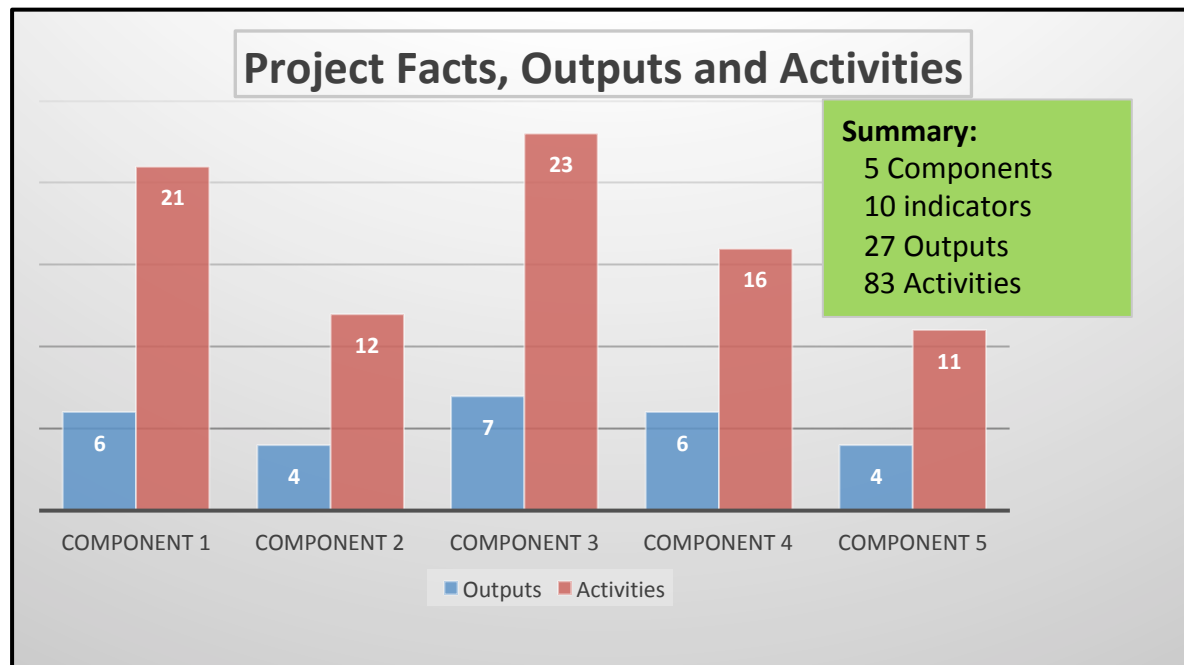


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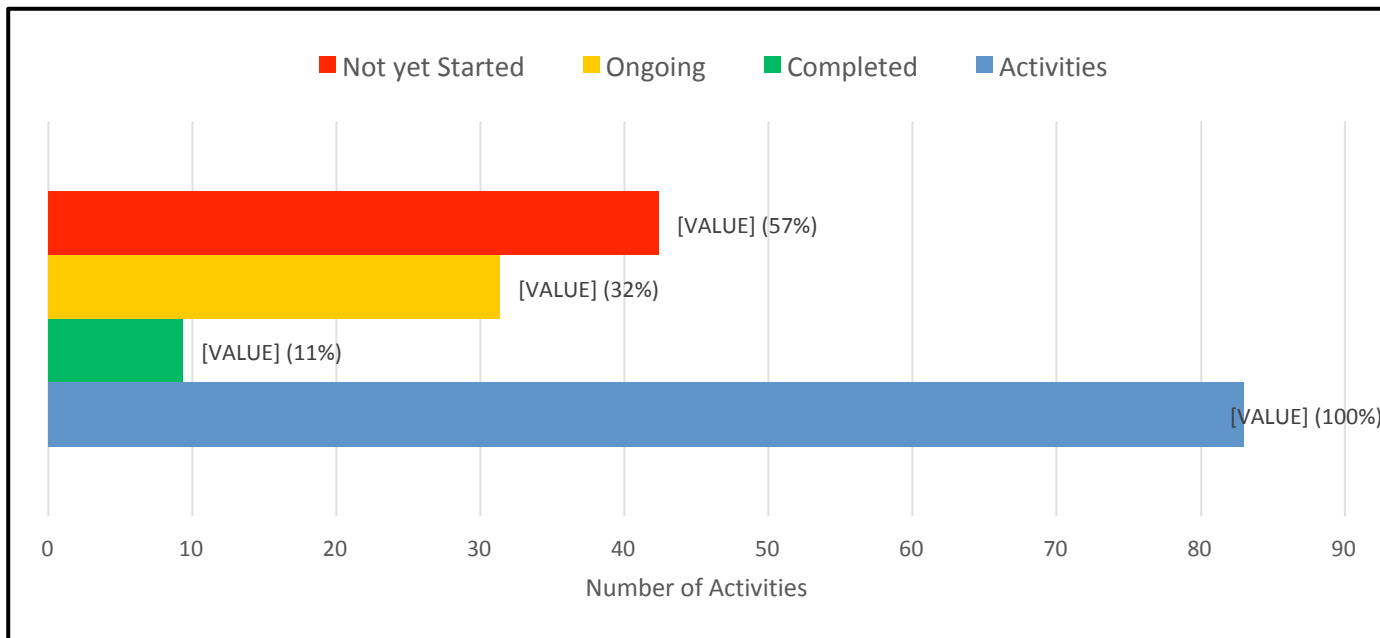


<http://www.pacific-iwrm.org>

## Regional IW R2R Project Summary

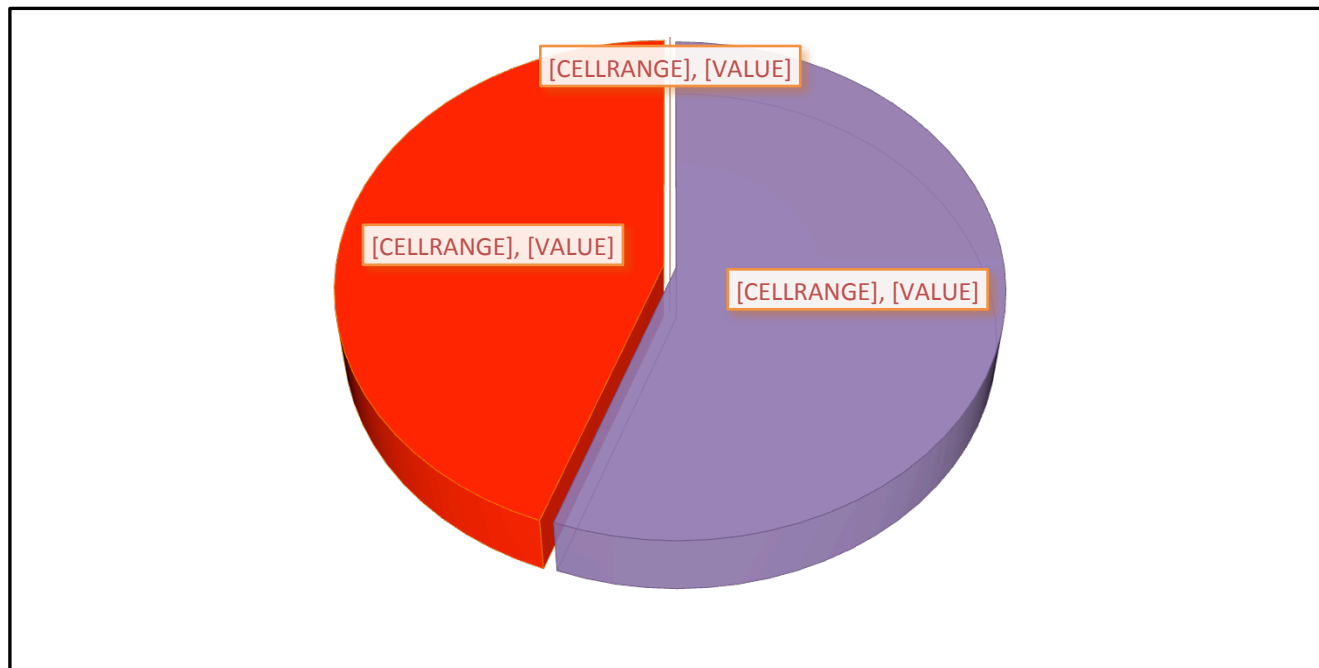


## Activity monitoring: Overall status as of June 2018

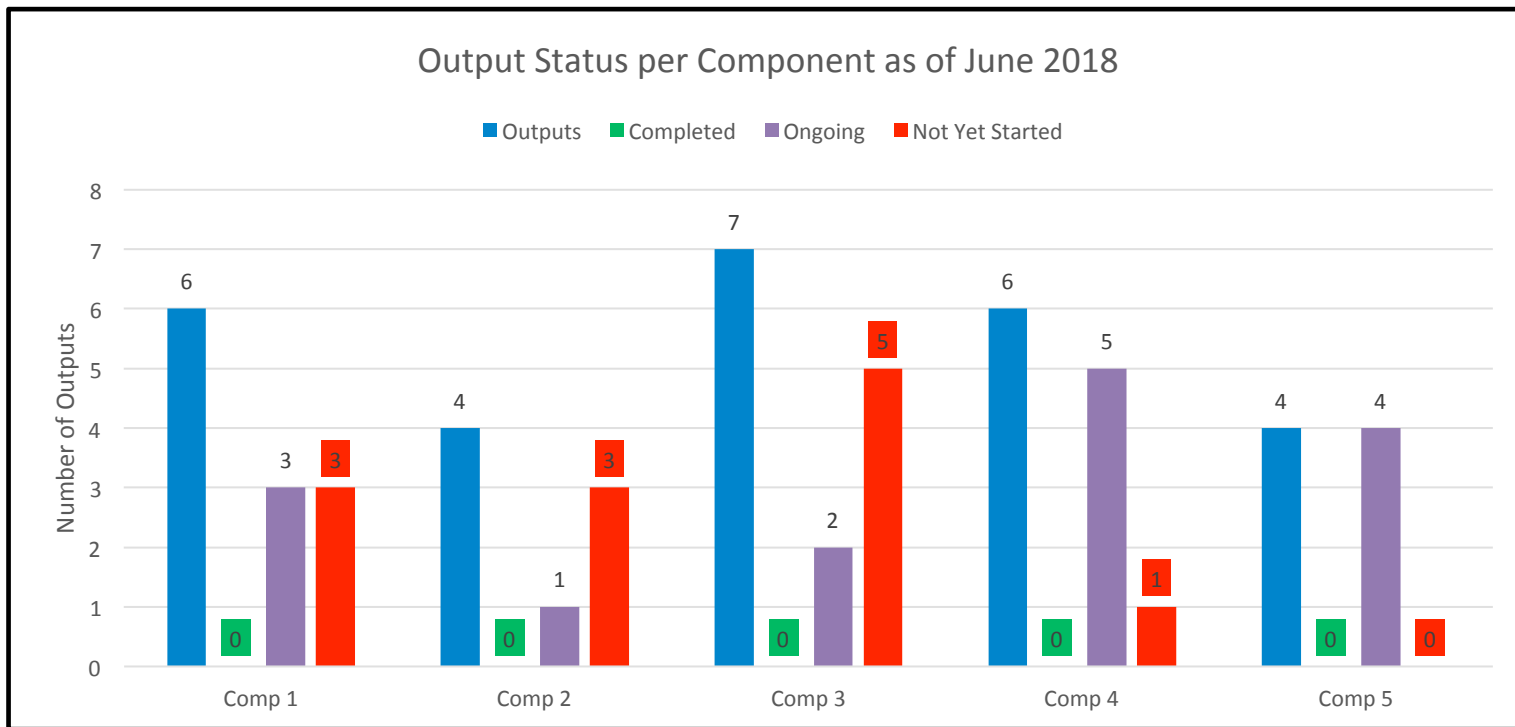




## Output monitoring: Overall status (as of June 2018)



## Output monitoring: Overall status per component



**Outcome 1: Successful pilot projects testing innovative solutions involving linking ICM, IWRM and climate change adaptation [linked to national STAR projects via larger Pacific R2R network]**

| Description of Indicator   | Target level at end of project  | Level at 30 June 2017  | Cumulative progress  |
|--|---|--|--|
| 1.1.1 Number and quality of baseline environmental state and socio-cultural information incorporated in project area diagnostics | 1.1.1 14 national pilot project area diagnostics based on R2R approach including: baseline environmental state and social data incorporating CC vulnerabilities; and local governance of water, land, forests and coasts reviewed   | Baseline monitoring guidelines developed and presented at RSC1 in October, 2016<br>Waste water monitoring manual development and presented at RSC1 | <b>On track.</b> As an initial step, environmental monitoring plans were developed in accordance with the approved monitoring guidelines.<br><br>RAPCA planning and conduct in Vanuatu, and planning for Samoa underway. |
| 1.1.2 Stress reduction and water, environmental and socioeconomic status indicators  | 1.1.2 14 national pilot projects test methods for catalyzing local community action, utilizing and providing best practice examples, and building institutional linkages for integrated land, forest, water and coastal management. | Nine pilot projects commenced implementation, including: Cook Islands, Nauru, Niue, Palau, PNG, RMI, Solomon Islands, Tuvalu, Vanuatu.             | <b>On track.</b> 12 PICs are implementing their respective projects (except Fiji and Kiribati).<br>Training on Gender mainstreaming was carried out in 6 PICs (FSM, RMI, Palau, Solomon, Tuvalu, & Vanuatu)              |

## Outcome 2: National diagnostic analyses for ICM conducted for prioritizing and scaling-up key ICM/IWRM reforms and investments

| Description of Indicator  | Target level at end of project   | Level at 30 June 2017   | Cumulative progress  |
|---|--|---|--|
| 1.2.1 By end of the project, number of diagnostic analyses conducted for priority coastal areas   | 1.2.1 14 diagnostic analysis for ICM/IWRM and CCA investments conducted to inform priority areas for scaling-up in each of 14 participating PICs             | Develop methodology for diagnostic analysis in June for July pilot in Samoa.                                      | <b>On track.</b> IDA Draft Report written for Cook Islands, PNG and Palau.<br>Preliminary IDA workshops held in Samoa, Cook Islands, FSM |
| 1.2.2 Number and quality of ICM-IWRM investments incorporating baseline environmental state and socio-cultural information for the prioritization of investment sites | 1.2.2 Up to 14 ICM-IWRM investments utilizing methodology and procedures for characterizing island coastal areas for ICM investment developed by the project | Workshop of experts convened in June in Suva, Fiji to develop indicators for trial in August 2017 in Apia, Samoa. | <b>Delay.</b> No new progress since last report.   |

### Outcome 3: Multi-stakeholder leader roundtable networks established for strengthened 'community to cabinet' ICM/IWRM

| Description of Indicator   | Target level at end of project   | Level at 30 June 2017  | Cumulative progress  |
|--|--|--|--|
| 1.3.1 Number of local leaders and local governments engagement/ participating in multi-stakeholder leader roundtable networks                | 1.3.1 Institutional relationships between national and community-based governance structures strengthened and formalized through national "Ridge to Reef" Inter-Ministry Committees in 14 Pacific SIDS | Inception workshops held in Tuvalu (Aug), Vanuatu (Dec), Solomon Islands (Jan) and PNG (May), convening key stakeholders from government, CSO, community and private sector. | <b>On track.</b> Rounding up the series of inception workshops are the ones in Tonga, FSM, Kiribati & RMI held during the first half of 2018. Representatives from the various sectors attended the workshops led by the PICs' implementing agency. The IMCs discuss R2R topics serving as an interim multi-stakeholder leader roundtable network. |
| 1.3.2 Number of forums held to discuss opportunities for agreements on private sector and donor participation in PIC sustainable development | 1.3.2 Up to 14 new national private-sector and donor partnership forums for investment planning in priority community-based ICM/IWRM actions   | Awaiting establishment of National PMs and National R2R Governance Structures. Two potential partnerships under negotiation  | <b>Delay.</b> No new progress since last report. Muri Lagoon Action Group engaged with Cook Islands, membership includes Tourism operators of Muri   |

## Outcome 4: National and local capacity for ICM and IWRM implementation built to enable best practice in integrated land, water, forest and coastal management and CC adaptation

| Description of Indicator  | Target level at end of project  | Level at 30 June 2017  | Cumulative progress  |
|---|---|--|--|
| 2.1.1 Number of PIC-based personnel with post-graduate training in R2R management. *Data will be gender disaggregated                 | 2.1.1 At least 10 people with post-graduate training in R2R management. *At least 5 people will be women                                      | Engaged James Cook University to deliver post graduate certificate in R2R Sustainable Development to commence in August 2017 and end in 2019. Regionally appropriate training materials and strategies developed. As at end June 50 applicants were offered a place in the PGC of which at least 10 are women. | <b>On track.</b> Ongoing implementation of the Post Graduate Certificate in R2R Sustainable Development with James Cook University (JCU), with 44 enrollees (EV5960 – Project Management) out of the 51 in previous course (EV5961-Ecosystem Dynamics).<br>20 out of 44 are women. |
| 2.1.2 Number of community stakeholders (i.e. catchment mgt. committees, CSOs, etc) engaged in R2R planning & CC adaptation activities | 2.1.2 At least 14 community stakeholder groups (ie. Catchment mgt. committees, CSOs, etc) engaged in R2R planning & CC adaptation activities. | Regionally accredited VET Level R2R Training certification being developed in partnership with other Resilience Sector Participants in CCA and DRR   | <b>On track.</b> No new update on this indicator.  |

## Outcome 5: Incentive structures for retention of local 'Ridge to Reef' expertise and inter-governmental dialogue on human resource needs for ICM/IWRM initiated

| Description of Indicator   | Target level at end of project  | Level at 30 June 2017         | Cumulative progress  |
|--|---|-------------------------------|--|
| 2.2.1 Number of R2R personnel for which functional competencies are benchmarked, tracked and analyzed          | <p>2.2.1 Up to 14 R2R personnel identified, with functional competencies are benchmarked, tracked and analysed.</p> <p>At least 1 study completed identifying national human capacity needs for R2R implementation &amp; benchmarking/ tracking competencies of national &amp; local government units for R2R implementation. Based on the study, at least 14 capacity building support provided with results documented.</p> | Nothing reported last period. | <b>Delay.</b> Of the 44 currently enrolled in the JCU PGC, 15 are R2R project personnel, while 29 number are employees of the gov't. agency with the tasks of managing & implementing programs & projects. |
| 2.2.2 Number of recommendations on practitioner retention internalized at national and local government levels | 2.2.2 At least 1 regional report with recommendations for R2R practitioner retention at national and local government levels completed. The report will analyse existing Public Service Commission salary scales and required functional competencies of key R2R (ICM/IWRM) personnel; appropriate guidelines and incentive structures for retention of local R2R expertise proposed.   | Nothing reported last period. | <b>On track.</b> No new update on this indicator since last report.  |

## Outcome 6: National and regional strategic action framework for ICM/IWRM endorsed nationally and regionally

| Description of Indicator  | Target level at end of project   | Level at 30 June 2017  | Cumulative progress  |
|---|--|--|--|
| 3.1.1 Number of sectoral governance framework harmonised and strengthened through national and regional development frameworks  | 3.1.1 National recommendations for 14 PICs for coastal policy, legal and budgetary reforms for ICM/IWRM for integration of land, water, forest, coastal management and CC adaptation compiled and documented with options for harmonization of governance frameworks | Compilation of existing national policy, legislation for 14 PICs to be catalogued.   | <b>On track.</b> No new update on this indicator since last report.    |
| 3.1.2 Inter-ministerial agreements and strategic action framework for 14 PICs developed and submitted for endorsement on integration of land, water, forest and coastal management and capacity building in development of national ICM/IWRM reforms and investment plans | 3.1.2 Agreements and strategic action frameworks for the 14 PICs endorsed by leaders   | Draft methodology developed for consultation with national leaders and stakeholders. | <b>Delay.</b> Awaiting the results of assessments (i.e. IDA and RAPCA) |



## Outcome 6: National and regional strategic action framework for ICM/IWRM endorsed nationally and regionally (... continued)

| Description of Indicator   | Target level at end of project   | Level at 30 June 2017   | Cumulative progress   |
|--|--|---|---|
| 3.1.3 Number of demonstrable use of national 'State of the Coasts' or 'State of the Islands' reports in national and regional action planning for R2R investment | 3.1.3 National 'State of the Coasts' or 'State of the Islands' reports for 14 PICs completed and launched to Pacific Leaders during National Coastal Summits (Yr 3) in coordination with national R2R projects and demonstrated as national development planning tool, including guidelines for diagnostic analyses of coastal areas | Developed a draft structure and content for State of the Coast report to be endorsed at RPSC2 in August in Tonga. | <b>Delay.</b> No new update of this indicator. Awaiting the results of IDA and RAPCA, which are inputs for the SoC/Sol. |

## Outcome 7: Coordinated approaches for R2R integrated land, water, forest and coastal management and CC adaptation achieved in 14 PICs

| Description of Indicator  | Target level at end of project   | Level at 30 June 2017  | Cumulative progress   |
|---|--|--|---|
| 3.2.1 Number of networks of national R2R pilot project inter-ministerial committees formed and linked to existing national IWRM committees  | 3.2.1 Up to 14 national networks of R2R (ICM/IWRM) national pilot project inter-ministry committees formed by building on existing IWRM committees and contributing to a common results framework at the project and program levels    | Palau, Tuvalu, Solomon Islands, Vanuatu, RMI, Cook Islands have national pilot project Inter-Ministerial Committees.   | <b>On track.</b> Except Kiribati, 13 PICs have their IMC established and functional. Highlighted in this report is that both STAR and IW have Joint IMCs in the 7 PICs namely: Cook, FSM, Fiji, RMI, Samoa, Palau & Tuvalu.   |
| 3.2.2 Number of people participating in inter-ministry committee (IMC) meetings conducted including scope and uptake of joint management and planning decisions *Participation data to be disaggregated by gender | 3.2.2 The number and variety of stakeholders participating in periodic IMC meetings in 14 PICs are doubled, with meeting results documented, participation data assembled and reported to national decision-makers and regional forums | Niue, Tuvalu, Palau, Cook Islands, Solomon Islands, Vanuatu countries submitted quarterly narrative reports including TOR for IMCs, quarterly meetings, work plans and timetables. | <b>On track.</b> As mentioned in 3.2.1, only Kiribati still needed to establish its IMC. Contrary to what has been stated in the indicator, the project shall advocate for a functional IMC with multi-sectoral & multi-disciplinary membership including representatives from LGUs, CBOs, NGOs, private sector, etc. |

## Outcome 7: Coordinated approaches for R2R integrated land, water, forest and coastal management and CC adaptation achieved in 14 PICs (... continued)

| Description of Indicator  | Target level at end of project  | Level at 30 June 2017   | Cumulative progress  |
|---|---|---|--|
| 3.2.3 Number of networks established between community leaders and local government from pilot projects   | 3.2.3 Community leaders & local government create at least 14 networks via national & regional round-table mtgs complemented by community tech exchange visits                                    | RMI and Tuvalu exploring community tech exchange visits for piggery management.   | <b>Delay.</b> The planned community tech exchange visits did not materialize. No further update for this indicator since last report.  |
| 3.2.4 Number of inter-ministry committee members meeting within the 4 pilot PICs that is engaged in learning and change in perception through participatory techniques<br>*Participation data to be disaggregated by gender | 3.2.4 At least 20 ICM members total from the 4 pilot PICs (sub-regional, mix of high island, atoll settings) gauge in learning, leading to change in perception through participatory techniques. | TOR developed for engagement of Most Significant Change facilitator/trainer/expert. Consultant engaged to deliver regional training on MSC at RPSC2 in August in Tonga.<br>Training materials developed. Pilot countries to trial MSC include: Vanuatu, Palau, Tuvalu | <b>On track.</b> A one-day MSC Technique training was held in Tonga with 18 participants from STAR and IW projects. During the cluster meetings in November and December 2017, and the recruitment of the new project managers, the MSC technique was again presented. |

## Outcome 8: National and regional formulation and adoption of integrated and simplified results frameworks for integrated multi-focal projects

| Description of Indicator   | Target level at end of project   | Level at 30 June 2017  | Cumulative progress   |
|--|--|--|---|
| 4.1.1 Number and quality of national and regional indicator set with the proposed targets and outcomes of the R2R program  | 4.1.1 1 simple and integrated national and regional reporting templates developed based on national indicator sets and regional framework to facilitate annual results reporting and monitoring from 14 PICs | Country Coordinator and Monitoring and Evaluation Adviser (CCMEA) in process of recruitment to lead this work. | <b>On track.</b> CCMEA recruited in November 2017. Various planning and reporting templates were developed (such as the Annual Progress Reporting, Mid-Term Report, and Results-Oriented Planning Tool (multi-year costed workplan)).               |
| 4.1.2 Level of acceptance of the harmonized results tracking approach by the GEF, its agencies and participating countries | 4.1.2 1 unified/harmonized multi-focal area results tracking approach and analytical tool developed, endorsed, and proposed to the GEF, its agencies and participating countries                             | CCMEA in process of recruitment.   | <b>On track.</b> With the focus of harmonizing results reporting along the GEF Focal Areas, a simple Harmonized Results Reporting (HRR) template and corresponding guidance document was prepared, and will be presented to the RSTC and the RPSC3. |

## Outcome 8: National and regional formulation and adoption of integrated and simplified results frameworks for integrated multi-focal projects (... continued)

| Description of Indicator   | Target level at end of project  | Level at 30 June 2017              | Cumulative progress   |
|--|---|------------------------------------|---|
| 4.1.3 Number of National planning exercises in 14 Pac SIDS conducted with participants from relevant ministries with a mandate to embedding R2R results frameworks into national systems for reporting, monitoring and budgeting | 4.1.3 Up to 14 national planning exercises in 14 Pac SIDS conducted with participants from relevant ministries with a mandate to embed R2R results frameworks into national systems for reporting, monitoring and budgeting | <i>(not set or not applicable)</i> | <b>On track.</b> Request from UNDP STAR projects for orientation and/or training on Results Based Management was supported. The importance of linking planning-monitoring and reporting results were highlighted during the orientation/ training sessions. |

## Outcome 9: National and regional platforms for managing information and sharing of best practices and lessons learned in R2R established

| Description of Indicator  | Target level at end of project   | Level at 30 June 2017  | Cumulative progress  |
|---|--|--|--|
| 4.2.1 Regional communications strategy developed and number of partnership with media and educational organizations | 4.2.1 Regional 'ridge to reef' communications strategy developed and implemented and assistance provided to national R2R project including at least 10 partnerships with national and regional media and educational organizations | Communications strategy presented to RSC1 and endorsed<br>Joint Regional Media Training conducted in May in Nadi between PIFS, UNDP, SPC.<br>Implementation on-going | <b>On track.</b> The communication strategy and guide to developing national communications plans supports both STAR and IW projects in designing/formulating their respective communication plans and implementation. |
| 4.2.2 Number of IW:LEARN experience notes published   | 4.2.2 Participation in IW:LEARN activities: conferences; preparation of at least 10 experience notes and inter-linked websites with combined allocation of 1% of GEF grant   | Knowledge Forum as part of Lessons Learned Strategy presented to RSC1 to be included at the IW LEARN conference in 2018.   | <b>On track.</b> Participated in the IW Learn events that were held in Cape Town (Nov-Dec. 2017) and in Bangkok (April-May 2018).  |

## Outcome 9: National and regional platforms for managing information and sharing of best practices and lessons learned in R2R established

| Description of Indicator  | Target level at end of project  | Level at 30 June 2017  | Cumulative progress   |
|---|---|--|---|
| 4.2.3 Number of users, volume of content accessed, and online visibility of the 'Pacific R2R Network' | 4.2.3 Pacific R2R Network established with at least 100 users registered, online regional and national portals containing among others, databases, rosters of national and regional experts and practitioners on R2R, register of national and regional projects, repository for best practice R2R technologies, lessons learned etc. | <p>Pacific R2R Network established and presented to RSC1 in October 2016</p> <p>To date 78 registered users TOR for website upgrade and interoperability with SPC platform to commence in Q3 2017.</p> <p>Development of draft guideline for rosters for national and regional R2R experts and practitioners</p> <p>Online repository developed and mobile applications explored</p> | <b>On track.</b> The R2R Website that was previously established is undergoing enhancement to ensure its optimum <i>functionality</i> . Negotiation is ongoing with the service provider. |

## Outcome 10: Effective program coordination of national and regional R2R projects

| Description of Indicator   | Target level at end of project  | Level at 30 June 2017   | Cumulative progress   |
|--|---|---|---|
| 5.1.1 Program coordination unit recruited and staff retained   | 5.1.1 Functioning overall R2R program coordination unit with alignment of development worker positions contributing to coordinated effort among national R2R projects (Year 1)  | Resignation of Online Coordinator and Graphic Designer in December 2016<br>Resignation of Team and Science Leader in March, 2017<br>CCMEA recruitment in process<br>Staff work plans and PDS developed. | <b>Delay.</b> The project suffered several setbacks with the resignation of the Regional Program Coordinator. CKMA was Officer-in-Charge of Regional Project and RPCU. CCMEA was hired in Nov. 2017. A consultant was also commissioned to act as interim PSL. Since May 2018, the Director of GEM-SPC acted as the interim Regional Program Coordinator. |
| 5.1.2 Number of requests for regional-level support to national project delivery and management met by program coordination unit | 5.1.2 Technical, operational, reporting & monitoring Unit is operational to provide support to national R2R projects, as may be requested by PICs, to facilitate timely delivery of overall program goals. At least 14 requests per year are met effectively. | Nine pilot projects submission of quarterly reports, work plans and budgets determining annual delivery and expenditure targets.  | <b>On track.</b> RPCU provided technical and management support to the 12 PICs in reviewing their quarterly and annual plans and progress reports.  |



## Outcome 10: Effective program coordination of national and regional R2R projects (...continued)

| Description of Indicator  | Target level at end of project   | Level at 30 June 2017                              | Cumulative progress  |
|---|--|--|--|
| 5.1.3 Number of R2R staff trained resulting in effective results reporting and online information sharing   | 5.1.3 At least 14 R2R staff are trained (in harmonized reporting and monitoring and other regional and national and capacity building modules, among others) resulting in effective results reporting and online information sharing.  | CCMEA in process of recruitment to lead this work. | <b>On track.</b> As mentioned in 4.1.3, RBM training conducted for both STAR and IW highlighting the importance of linking planning-implementation-monitoring and reporting.   |
| 5.1.4 Volume and quality of information and data contributed by program stakeholders to online repositories | 5.1.4 At least 4 quality information and/or data contributed/ updated per year (total of at last 16 throughout the project) to the online repository, as a result of support provided to PICs for the development and operation of the Pacific R2R Network and regional with national R2R web pages as a repository of information, documentation and for sharing best practices | Exploring database development with SPC and USP.   | <b>On track.</b> Consolidation of available Programme information and communications products into the website conducted. Continuing environmental management database system with consultant led workshop in February and ongoing with SPC. |

## Outcome 10: Effective program coordination of national and regional R2R projects (...continued)

| Description of Indicator   | Target level at end of project   | Level at 30 June 2017  | Cumulative progress  |
|--|--|--|--|
| <p>5.1.5 Number of planning and coordination workshops conducted for national projects teams to ensure timeliness and cost-effectiveness of IW pilot project and STAR project coordination, delivery and reporting</p> | <p>5.1.5 At least 4 (1 per year) planning and coordination workshops conducted for national project teams in the Pacific R2R network</p> | <p>Inception workshop and RSC1 conducted in October, 2016.</p> | <p><b>On track.</b> Further to the usual technical and management backstopping support to the PICs, the RPCU also held cluster meetings in November and December 2017, aimed to support the project managers in their respective tasks.</p> <p>RPCU staff provides technical support to UNDP projects (STAR project managers and coordinators) by acting as resource person in planning and management meetings, orientation, and workshops.</p> |

## Project - Facilitating Factors

| Factors facilitating the achievement of the project target   | What and how did it impact the project (in terms of time, costs, etc.)  |
|--|---|
| 1. Responsive and supportive members of the PICs   | Despite the limited resources of this project, PICs remained supportive and committed in pursuing achievement of the R2R objectives |
| 2. Clear systems, processes and tools established such as MYCWP, Country Focal Points, Completed Staff Work process, ... | Provided operational standards for regional and national project implementation   |
| 3. Improved communications and coordination between the SPC-RPCU and UNDP Pacific Office                                 | Facilitated and improved coordination in project implementation and decision making   |

## Project Implementation Issues & Resolutions

| Issues and Concerns  | Measures taken to address the issues/ proposed action by the RPSC   |
|--|---|
| 1. Availability of and frequent changes in the key RPCU personnel critically impacted the project to effectively operate         | Recruitment of RPC and PSL is underway. Additional technical staff will also be required to ensure timely delivery of the end of project targets  |
| 2. Disparity in technical skills and management experience of national project managers affected the delivery of project outputs | Country Focal Points established to facilitate and ensure streamlined coordination of technical and management inputs to PICs. Stepping up of the supervisory role of the national appointing agency officials will ensure effective in-country project implementation. |
| 3. Appointment of new project managers has been protracted process impeding progress of national projects                        | Remedial action: Lead agency has to expedite recruitment process  |

## GEF Pacific R2R Programme Implementation Issues

| Issues and Concerns   | Action requested for the RPSC   |
|---|---|
| <p>1. Continuing poor national level coordination and cooperation between STAR and IW R2R projects is hampering implementation.</p>   | <p>GEF implementing agencies to advocate for and follow-up the programmatic implementation at the country level through joint planning, implementation and results reporting.</p> |
| <p>2. Lack of direct authority by RPCU over STAR projects limits the effectiveness of RPCU in performing its coordination role in the Pacific R2R Programme implementation.</p>   | <p>RPCG through RPSC to institute effective coordination mechanism that ensures operationalization of the Pacific R2R Programme initiative.</p>                                   |
| <p>3. Lack of mechanism for accessing available Pacific R2R Programme funds (particularly components 3 &amp; 4) hinders RPCU from operationalizing and effectively supporting the Pacific R2R Programme activities.</p> | <p>RPCG through RPSC to provide guidance and agree on mechanism that ensures access of available funds for pursuing Pacific R2R Programme activities.</p>                         |

### Conclusion

1. Majority (21) of the targets are on-track and (7) with delays.
2. Overall project performance is rated by the RPCU as moderately satisfactory.
- 3.



## GEF Pacific Ridge to Reef Programme

**Thank you...  
Vinaka...**

### Presentation Prepared by:

Jose J. Antonio, CCMEA

Country Coordination, Monitoring & Evaluation Adviser

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### Photo credits

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### Layout

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### References:

IW R2R Project Document

Pacific Ridge to Reef Programme Framework Document

Project Implementation Report (PIR) – July 2017 to June 2018





# Status of GEF/UNDP/SPC Pacific Regional IW R2R Project Implementation

Third Regional Programme Steering Committee Meeting  
Mercure Hotel, Townsville, QL, Australia  
July 30 to August 3, 2018

**MAIKA VULAONO**

FINANCE TEAM LEADER - Geosciences, Energy and Maritime Division, SPC



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du Pacifique



Empowered lives.  
Resilient nations.



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environment





## Outline of the Presentation - Finance

- Financial notes
- Financial status
  - Budget Vs Actual
  - Actual over the years
  - Actual Vs Annual Workplan budgets over the years
  - Forecast growth
- Sub-recipient / Advances to countries
- Summary of financial outcome
- Questions

## FINANCIAL NOTES

- Actual Expenditures – incurred expenditures until 30 June 2018
- Actual Expenditures does not include funds advanced to countries
- All figures are denoted in USD
- Major budget components:

**Component One:** National Demonstrations to Support R2R ICM/IWRM Approaches for Island Resilience and Sustainability

**Component Two:** Island-based investments in human capital and knowledge to strengthen national and local capacities for ridge to reef ICM/IWRM approaches, incorporating climate change adaptation

Component Three: Mainstreaming of ridge to reef ICM/IWRM approaches into national development planning

Component Four: Regional and national ‘ridge to reef’ indicators for reporting, monitoring, adaptive management and knowledge management

Component Five: Ridge to Reef Regional and National coordination

## FINANCIAL STATUS

- BUDGET VS ACTUAL

| Component    | Overall Budget    | Actual Expenditure till 30 June 2018 | Budget remaining | Actual Vs Budget % |
|--------------|-------------------|--------------------------------------|------------------|--------------------|
| <b>1</b>     | 4,450,000         | 1,384,903                            | 3,065,097        | 31%                |
| <b>2</b>     | 1,650,000         | 378,171                              | 1,271,829        | 23%                |
| <b>3</b>     | 1,125,000         | 152,083                              | 972,917          | 14%                |
| <b>4</b>     | 1,000,000         | 275,730                              | 724,270          | 28%                |
| <b>5</b>     | 1,576,582         | 543,385                              | 1,033,197        | 34%                |
| <b>PM</b>    | 515,872           | 157,942                              | 357,930          | 31%                |
| <b>TOTAL</b> | <b>10,317,454</b> | <b>2,892,214</b>                     | <b>7,425,240</b> | <b>28%</b>         |

## FINANCIAL STATUS - continued

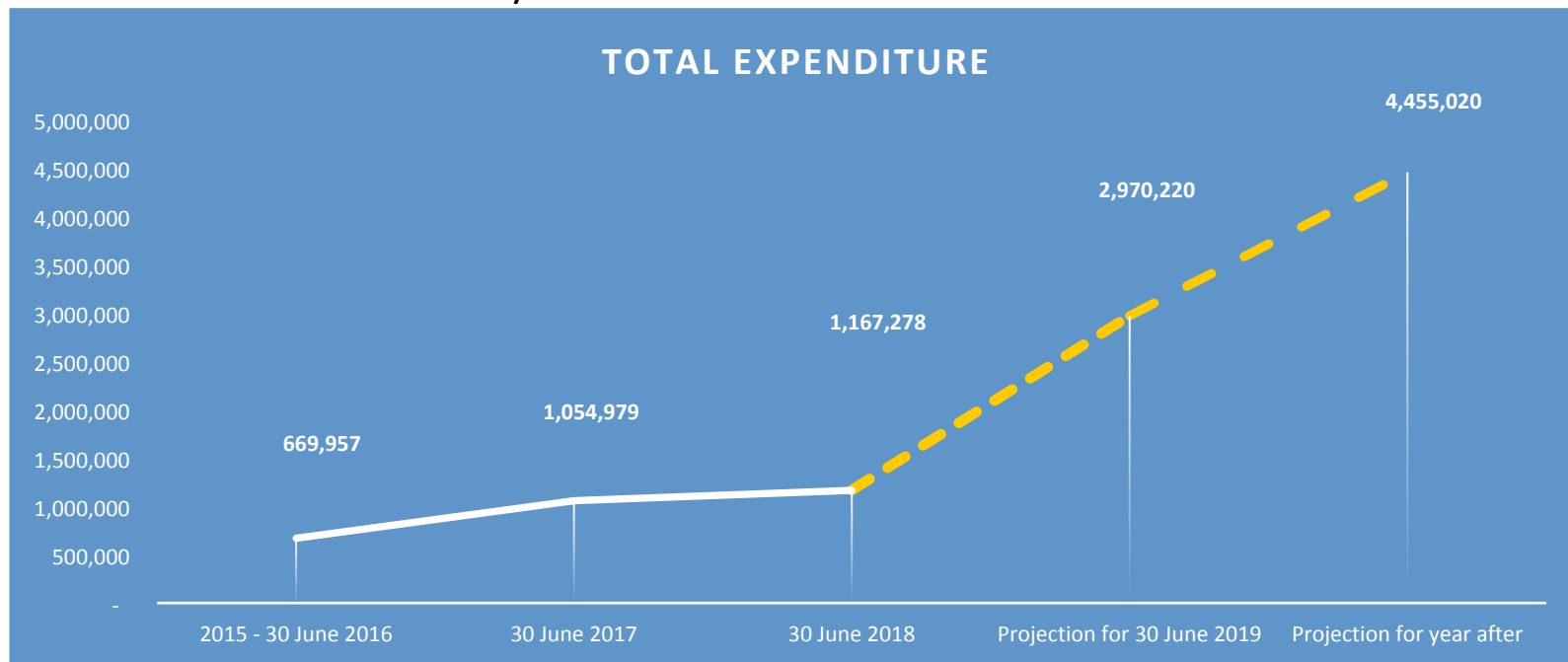
- BUDGET VS ACTUAL - continued

### KEY NOTES:

- Overall coverage of budget is 28%
- A number of factors have contributed to this ratio ranging from procedural matters to monitoring matters
- Our remaining budget balance is USD 7.4m – to be budgeted for 2019 & 2020
- Need to work and communicate more frequently in order to achieve our targets by 2020

## FINANCIAL STATUS - continued

- Actual over the years



## FINANCIAL STATUS - continued

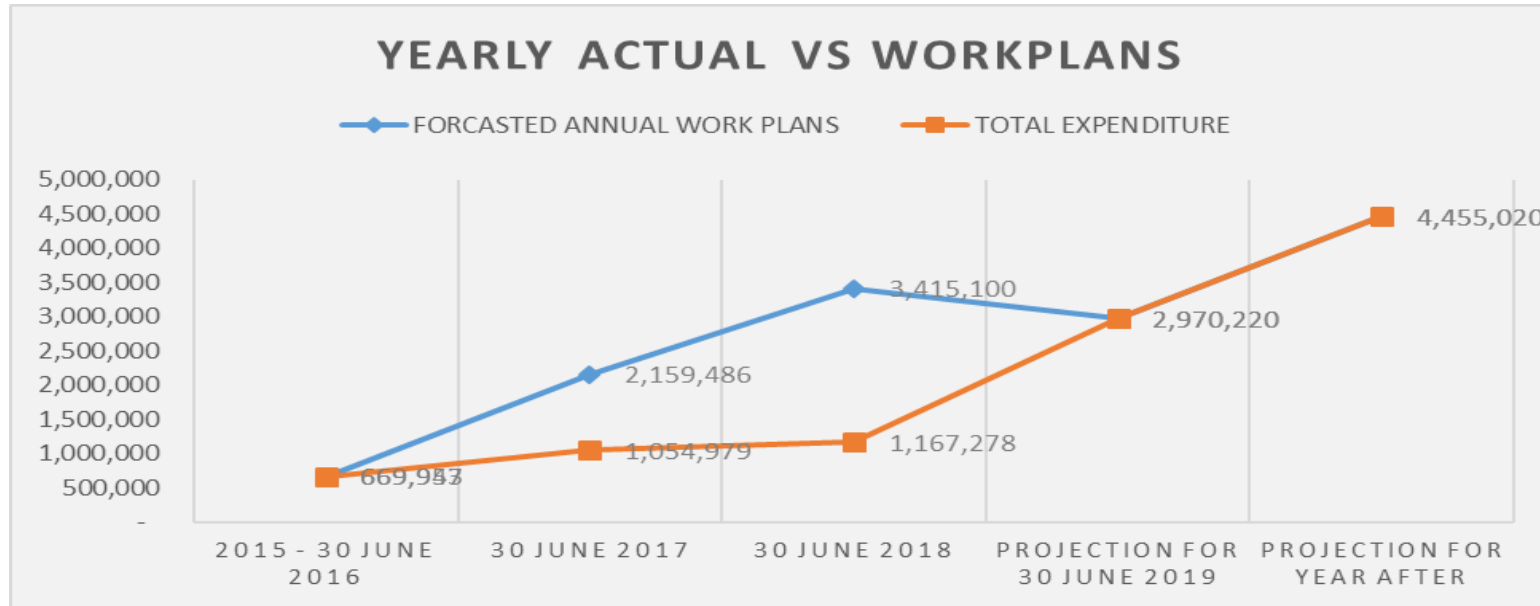
- Actual over the years - continued

### KEY NOTES:

- Achieved total expenditure of USD 1.1m for the period July 2017 to June 2018. An increase of 11% from the period end of June 2017.
- Highest compared to the rest of the previous years
- Projection of the annual budget for 30 June 2019 is set at USD 2.9m

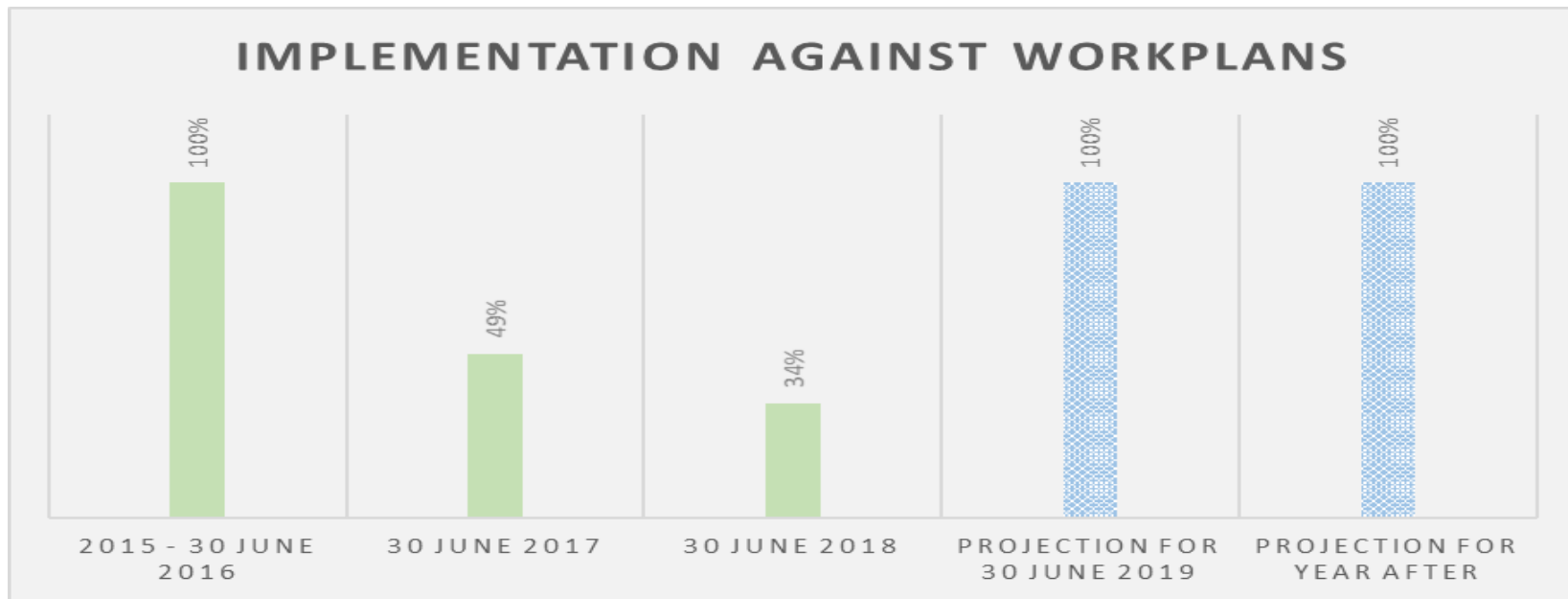
## FINANCIAL STATUS - continued

- ACTUAL VS ANNUAL WORKPLAN BUDGETS



## FINANCIAL STATUS - continued

- ACTUAL VS ANNUAL WORKPLAN BUDGETS - Continued





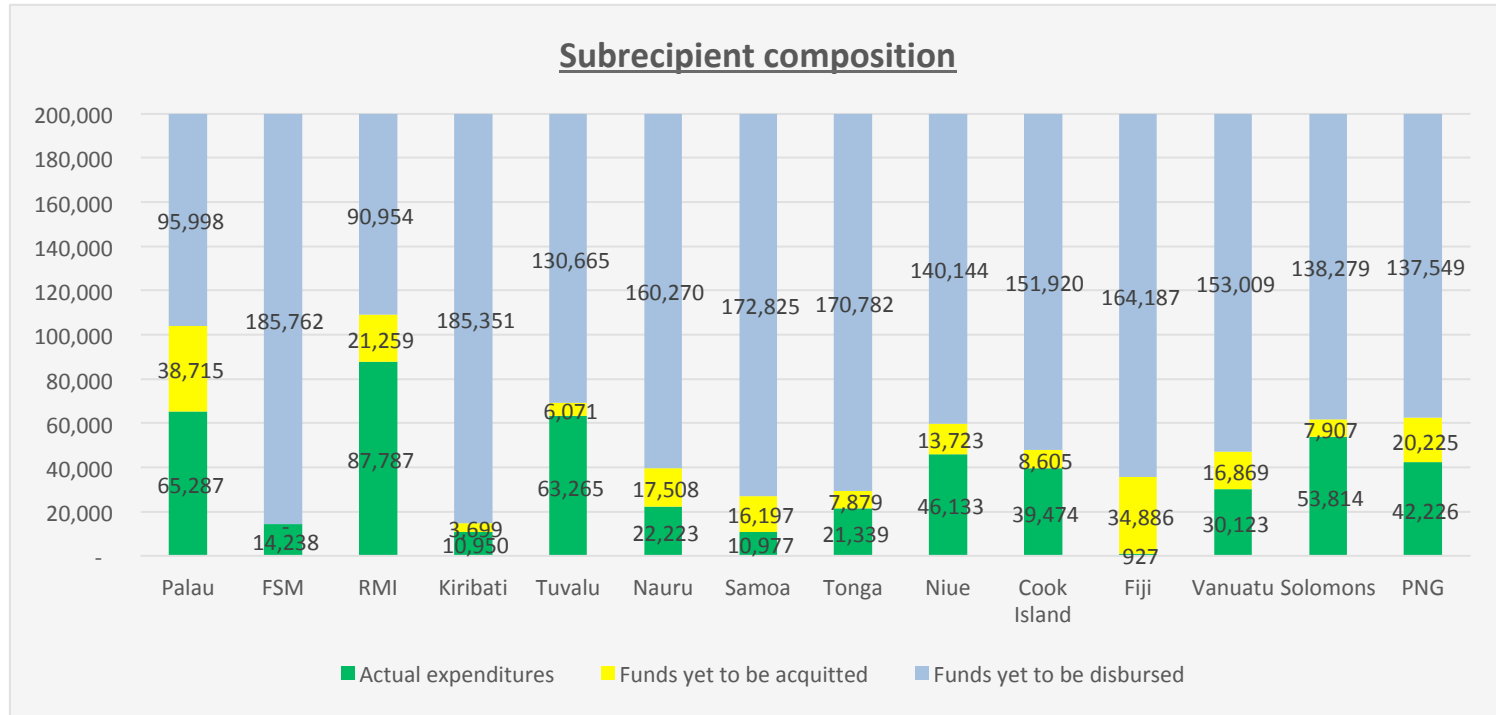
## FINANCIAL STATUS continued

- ACTUAL VS ANNUAL WORKPLAN BUDGETS

### KEY NOTES:

- While 2018 expenditures was high our implementation against our annual workplan for the same period stood at 34%
- Because of the under achievement in our annual workplans for the periods June 2017 & June 2018, activities will now need to shift into new periods 2019 & 2020.
- Approach can also come at a cost for all of us. 1) More work will need to be done to accommodate for pending activities and new activities; 2) Teams may need to reassess priority areas and big ticket items that needs to be done; 3) May need to seek additional support and finalisation of key vacant areas; 4) Reassess internal procedures and remove bottlenecks without compromising compliance.

## SUB-RECIPIENT / ADVANCES TO COUNTRIES



## SUB-RECIPIENT / ADVANCES TO COUNTRIES - continued

### KEY NOTES:

- Overall 74% is yet to be disbursed to countries
- 18% expenses (Acquitted)
- 8% still pending with countries (yet to be acquitted)
- A key area which we can prioritise to complete. SPC has provided additional support in terms of the review process of acquittals and in addition to allow for faster turn around time in the disbursement of funds.
- From SPC side, a key aspect is in regards to supporting documents. Please if you require advise on this please schedule with a meeting or send us an email for clarification.
- Note that if we are able to achieve 50% balances remaining, already we have covered over 51% of our overall workplan.



# QUESTIONS & THANK YOU



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